

Single transverse-spin asymmetry in inclusive hadron production

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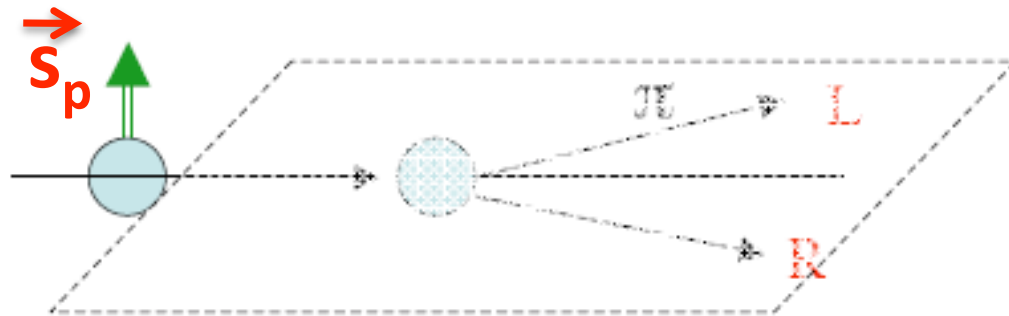
Brookhaven Summer Program on Nucleon Spin Physics
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based on work with Gamberg, Qiu, Vogelsang, Yuan, Zhou

- Introduction
- Collinear factorization approach:
 - Basic formalism
 - Quark and gluon contributions
 - p_T behavior
 - Global analysis
 - Twist-3 fragmentation function contribution
- TMD approach:
 - Generalized Parton Model (GPM)
 - Process-dependent Sivers function
- Summary

A_N Definition: Single Transverse Spin Asymmetry (SSA)

- Consider the scattering of a transversely polarized nucleon with another nucleon, observe a particle going left or right: left-right asymmetry



$$A_N = \frac{N_L - N_R}{N_L + N_R}$$

- Because of rotational symmetry, this corresponds to an asymmetry relate to the difference of the cross section when the spin of the incoming nucleon is flipped

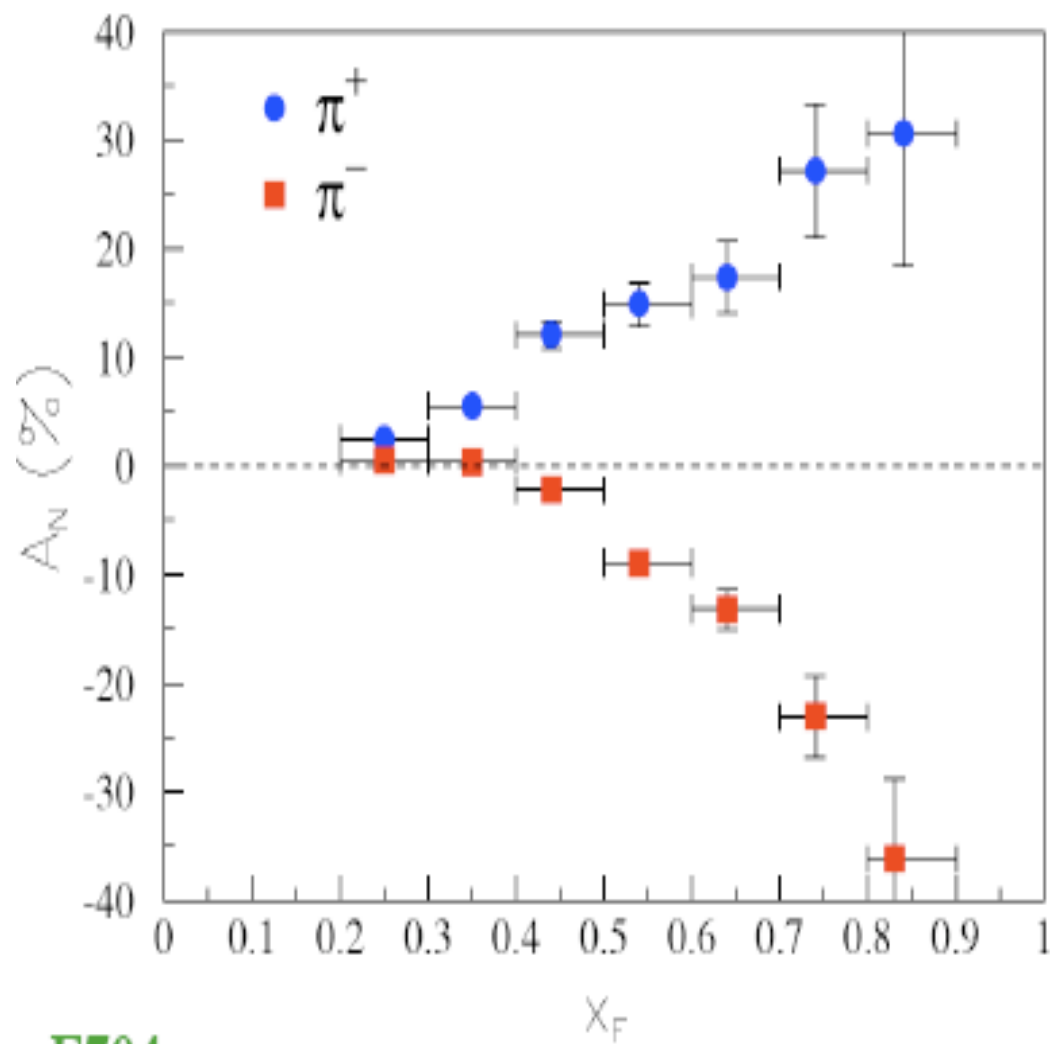
- Spin-averaged cross section: $\sigma(\ell) = \frac{1}{2} [\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})]$
- Spin-dependent cross section: $\Delta\sigma(\ell, \vec{s}) = \frac{1}{2} [\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})]$
- Single transverse-spin asymmetry (SSA):

$$A_N \equiv \frac{\Delta\sigma(\ell, \vec{s})}{\sigma(\ell)} = \frac{\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})}{\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})}$$

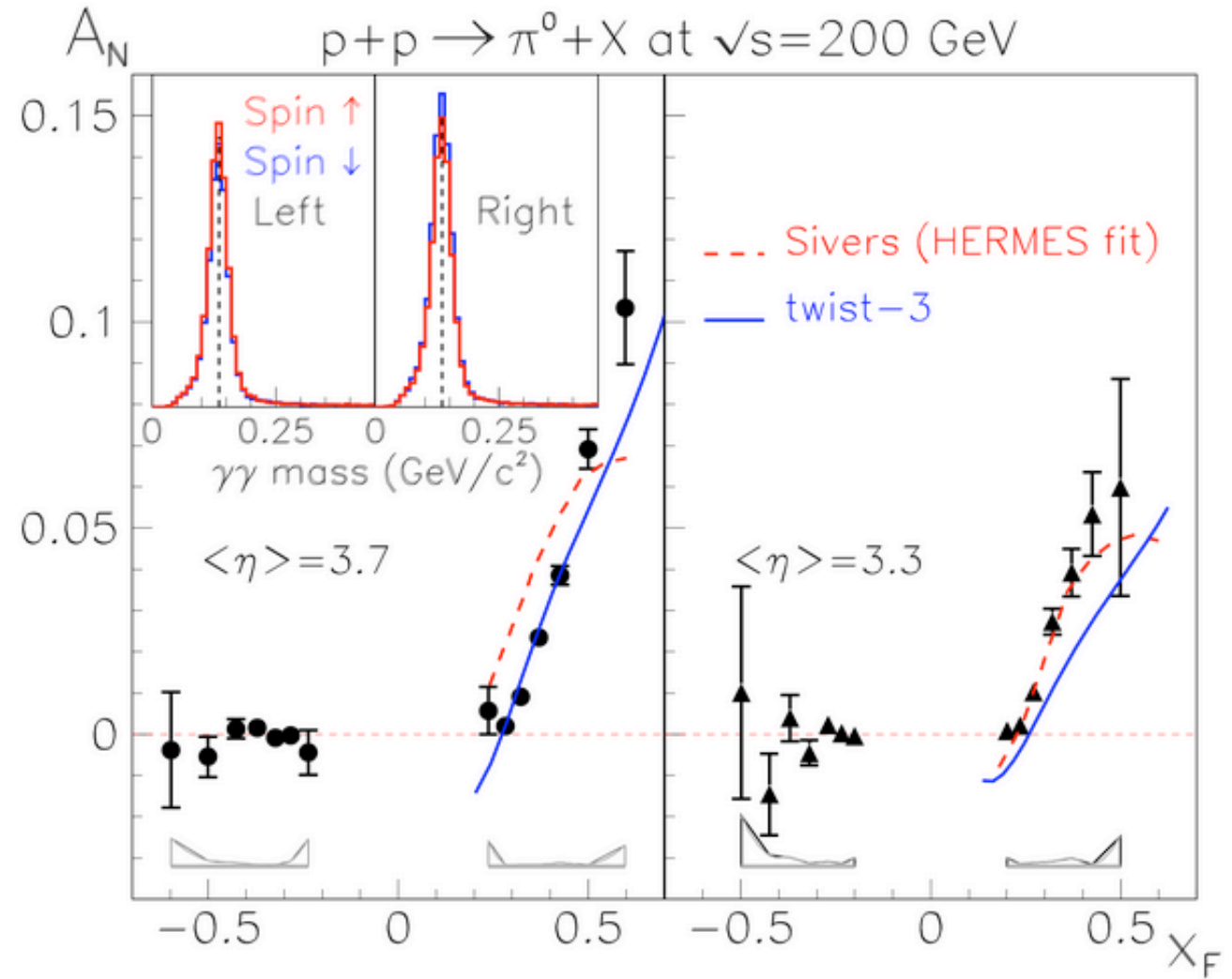
Experiment: Single Spin Asymmetries

- Fermilab E704, STAR, PHENIX, BRAHMS, COMPASS, HERMES, JLAB:

$$p^\uparrow p \rightarrow \pi X$$



E704



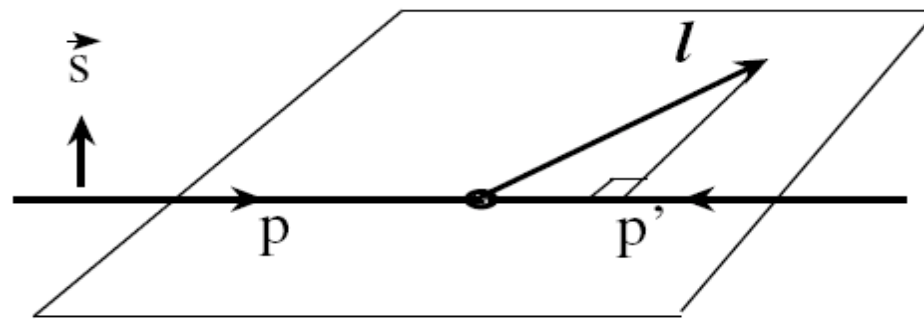
STAR

SSAs are observed in various experiments at different \sqrt{s}

SSA corresponds to a T-odd triplet product

- SSA measures the correlation between the hadron spin and the production plane, which corresponds to $\vec{s}_p \cdot (\vec{p} \times \vec{\ell})$

$$p^\uparrow p \rightarrow \pi(\ell) X$$



- Such a product is (naive) odd under time reversal (T-odd), thus they can arise in a time-reversal invariant theory (eg, QCD) only when there is a phase between different spin amplitudes

$$\rightarrow A_N \propto i \vec{s}_p \cdot (\vec{p} \times \vec{\ell})$$

- the phase “ i ” is required by time-reversal invariance
- covariant form: $A_N \propto i \epsilon^{\mu\nu\alpha\beta} p_\mu s_\nu \ell_\alpha p'_\beta$

Nonvanishing A_N requires a phase, a helicity flip, and enough vectors to fix a scattering plane

SSA vanishes at leading twist in collinear factorization

Kane, Pumplin, Repko, 1978

- At leading twist formalism: partons are collinear

$$\sigma(s_T) \sim \left| \begin{array}{c} \text{Diagram (a)} \\ \text{Diagram (b)} \\ \vdots \end{array} \right|^2 \rightarrow \Delta\sigma(s_T) \sim \text{Re}[(a)] \cdot \text{Im}[(b)]$$

- generate phase from loop diagrams, proportional to α_s
- helicity is conserved for massless partons, helicity-flip is proportional to current quark mass m_q

Therefore we have

$$A_N \sim \alpha_s \frac{m_q}{\sqrt{s}} \rightarrow 0$$

- $A_N \neq 0$: result of parton's transverse motion or correlations!



Two mechanisms to generate SSA in QCD

- SSA is related to parton's transverse motion
- TMD approach: **T**ransverse **M**omentum **D**ependent distributions probe the parton's intrinsic transverse momentum
 - Sivers function: in Parton Distribution Function (PDF)
Sivers 90
 - Collins function: in Fragmentation Function (FF)
Collins 93
- Collinear factorization approach:
 - Twist-3 three-parton correlation functions: Qiu-Sterman matrix element, ...
Efremov-Teryaev 82, 84, Qiu-Sterman 91, 98, ...
 - Twist-3 three-parton fragmentation functions:
Koike, 02, Zhou, Yuan, 09, Kang, Yuan, Zhou, 10

Relation between twist-3 and TMD approaches

- They apply in different kinematic domain:

- TMD approach: need TMD factorization, applies for the process with two observed momentum scales: DY at small q_T

$$Q_1 \gg Q_2 \left\{ \begin{array}{l} Q_1 \text{ necessary for pQCD factorization to have a chance} \\ Q_2 \text{ sensitive to parton's transverse momentum} \end{array} \right.$$

- Collinear factorization approach: more relevant for single scale hard process: inclusive pion production at pp collision

- They generate same results in the overlap region when they both apply:

- Twist-3 three-parton correlation in distribution \longleftrightarrow Sivers function

Ji, Qiu, Vogelsang, Yuan, 06, ...

- Twist-3 three-parton correlation in fragmentation \longleftrightarrow Collins function

Zhou, Yuan, 09, Kang, Yuan, Zhou, 10

SSA in collinear factorization approach

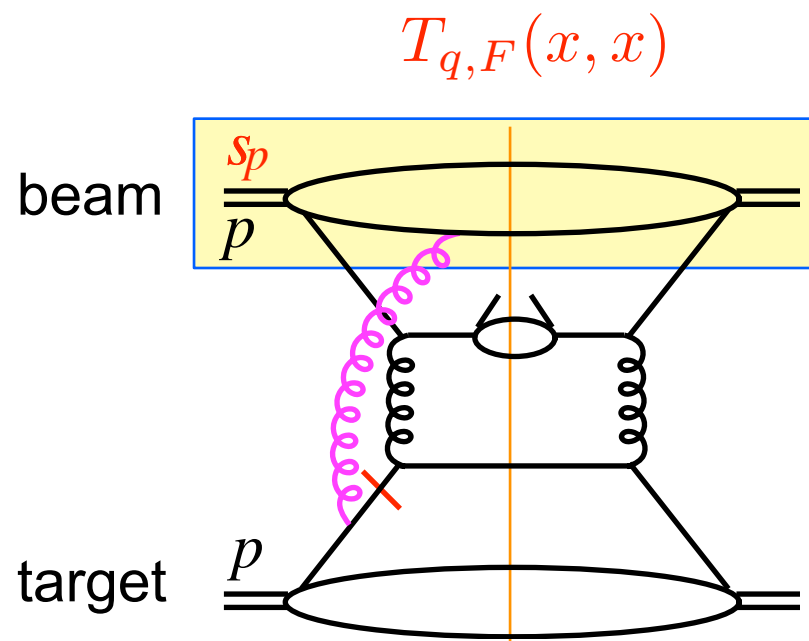
Efremov-Teryaev, 1982, Qiu-Sterman, 1991

- When all observed scales $\gg \Lambda_{\text{QCD}}$, collinear factorization should work:

$$\sigma(s_T) \sim \left[\text{Diagram (a)} + \text{Diagram (c)} + \dots \right]^2 \rightarrow \Delta\sigma(s_T) \sim \text{Re}[(a)] \cdot \text{Im}[(c)]$$

Diagram (a) shows a hard scattering process with incoming momentum p and s_p , and outgoing momentum k . Diagram (c) shows a similar process with an additional gluon exchange between the hard scattering and the target, with momenta k_1 and k_2 .

- How it works:



some propagators in the tree diagrams go on-shell

$$\frac{1}{k^2 - m^2 + i\epsilon} = P \frac{1}{k^2 - m^2} - i\pi\delta(k^2 - m^2)$$

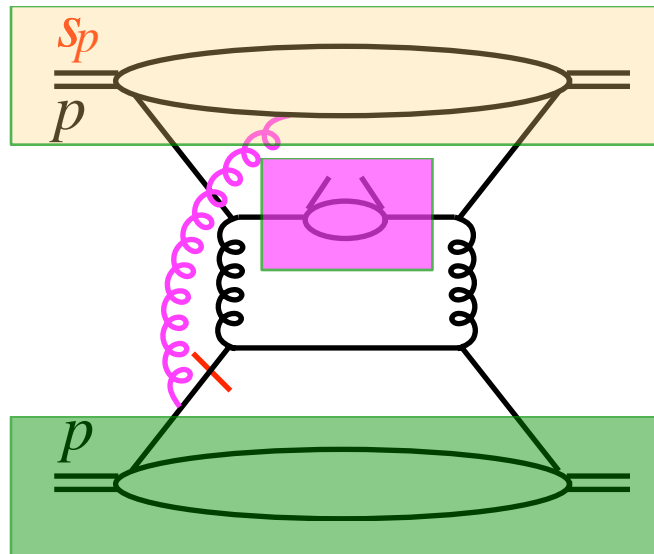
- phase: from hard scattering amplitudes (unpinched pole)
- spin flip: from interference between a quark state and a quark-gluon composite state

- Twist-3 quark-gluon correlation function $T_{q,F}(x, x)$:

$$T_{q,F}(x, x) = \int \frac{dy_1^- dy_2^-}{4\pi} e^{ixP^+ y_1^-} \langle P, s_T | \bar{\psi}_q(0) \gamma^+ \left[\epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y_2^-) \right] \psi_q(y_1^-) | P, s_T \rangle$$

The sources of the twist-3 effects

- Three places where the twist-3 effects could come from:



- From polarized hadron
- From unpolarized hadron
- From fragmentation function

$$\begin{aligned} \Delta\sigma_{A+B\rightarrow hX}(\ell_{\perp}, \vec{s}_T) &= \sum_{abc} \phi_{a/A}^{(3)}(x_1, x_2, \vec{s}_T) \otimes \phi_{b/B}(x') \otimes H_{ab\rightarrow c}(\ell_{\perp}, \vec{s}_T) \otimes D_{c\rightarrow h}(z) \\ &+ \sum_{abc} \delta q_{a/A}(x, \vec{s}_T) \otimes \phi_{b/B}^{(3)}(x'_1, x'_2) \otimes H'_{ab\rightarrow c}(\ell_{\perp}, \vec{s}_T) \otimes D_{c\rightarrow h}(z) \\ &+ \sum_{abc} \delta q_{a/A}(x, \vec{s}_T) \otimes \phi_{b/B}(x') \otimes H''_{ab\rightarrow c}(\ell_{\perp}, \vec{s}_T) \otimes D_{c\rightarrow h}^{(3)}(z_1, z_2) \end{aligned}$$

- Within each term, there could be several twist-3 correlation functions

Twist-3 correlation function in polarized nucleon

■ quark-gluon correlation:

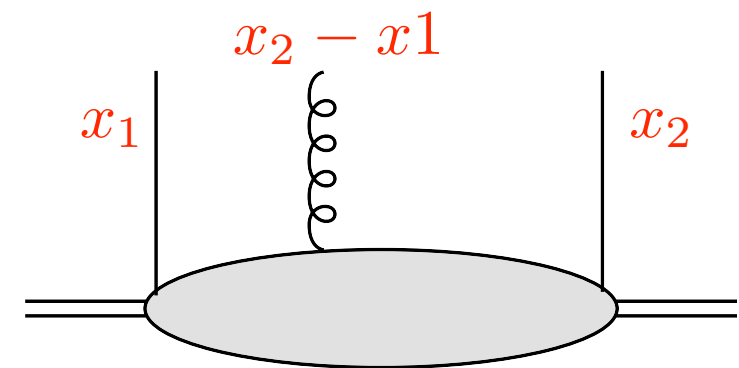
$$\begin{aligned}\mathcal{M}^\sigma(x_1, x_2) &= \int \frac{dy_1^- dy_2^-}{2\pi} e^{ix_1 p^+ y_1^- + i(x_2 - x_1) p^+ y_2^-} \langle p, s_T | \bar{\psi}_q(0) g F^{\sigma+}(y_2^-) \psi_q(y_1^-) | p, s_T \rangle \\ &= \frac{1}{2} \left[\not{n} \epsilon^{\sigma s_T n \bar{n}} T_{q,F}(x_1, x_2) + \gamma^5 \not{n} i s_T^\sigma T_{\Delta q,F}(x_1, x_2) + \dots \right]\end{aligned}$$

■ Symmetry property:

$$T_{q,F}(x_1, x_2) = T_{q,F}(x_2, x_1)$$

$$T_{\Delta q,F}(x_1, x_2) = -T_{\Delta q,F}(x_2, x_1) \Rightarrow T_{\Delta q,F}(x, x) = 0$$

- Soft gluonic pole: $T_{q,F}(x, x)$
- Soft fermionic pole: $T_{q,F}(0, x), T_{\Delta q,F}(0, x)$



■ Relation between $T_{q,F}(x, x)$ and quark Sivers $f_{1T}^\perp(x, k_\perp^2)$

Boer, Mulders, Pijlman, 2003

$$T_{q,F}(x, x) = \int d^2 k_\perp \frac{|\vec{k}_\perp|^2}{M_h} f_{1T}^\perp(x, k_\perp^2)$$

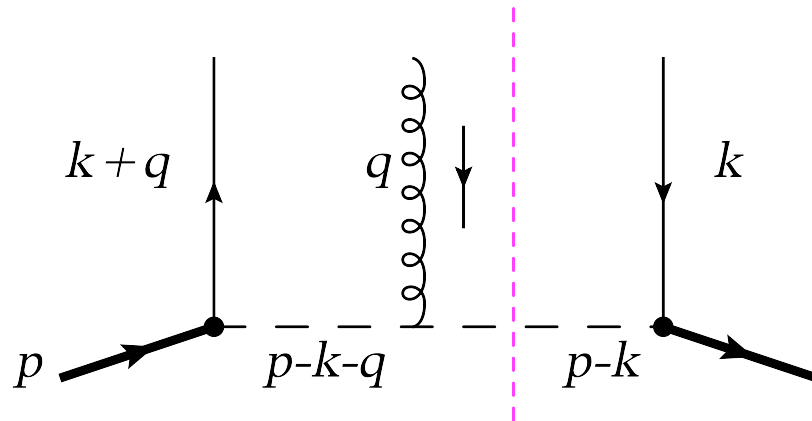


Guidance for the relative size

- pQCD factorization theorem, the twist-3 correlation functions are universal but unknown, which need to be extracted from the experimental data
 - Or lattice: see P. Haegler's talk (July 21)
- With limited data and too many correlation functions, hopefully one could start with fewer terms
- Model calculation is thus important at this stage
 - Model calculation for TMD is encouraging: See A. Courtoy's talk (July 20)
- Within diquark model, we have found Kang, Qiu, Zhang, PRD81, 114030 (2010)
 - Soft fermionic correlation functions vanish
$$T_{\Delta q, F}(0, x) = -T_{\Delta q, F}(x, 0) = 0 \quad T_{q, F}(0, x) = T_{q, F}(x, 0) = 0$$
 - Only soft gluonic correlation function remains
$$T_{q, F}(x, x) \neq 0$$
 - Thus expect soft fermionic contribution small? Kanazawa, Koike, arXiv:1005.1468

$T_{q,F}(x_1, x_2)$ in diquark model

- Within diquark model, quark-gluon correlation function comes from the following figure



- For soft-fermionic pole case: $(k^+ + q^+) = 0$

$$(p - k - q)^2 - M_s^2 + i\epsilon :$$

$$q^- = -\frac{1}{2(1-x-y)p^+} \left[\frac{y(k_\perp^2 + M_s^2)}{1-x} + 2k_\perp \cdot q_\perp + q_\perp^2 \right] + i\epsilon$$

$$q^2 + i\epsilon :$$

$$q^- = -\frac{q_\perp^2}{2|y|p^+} + i\epsilon$$

$$(k + q)^2 - m^2 + i\epsilon = -(k_\perp + q_\perp)^2 - m^2 + i\epsilon$$

- They are all in the upper half plane for q -integral

Twist-3 approach: initial success with only $T_{q,F}(x,x)$

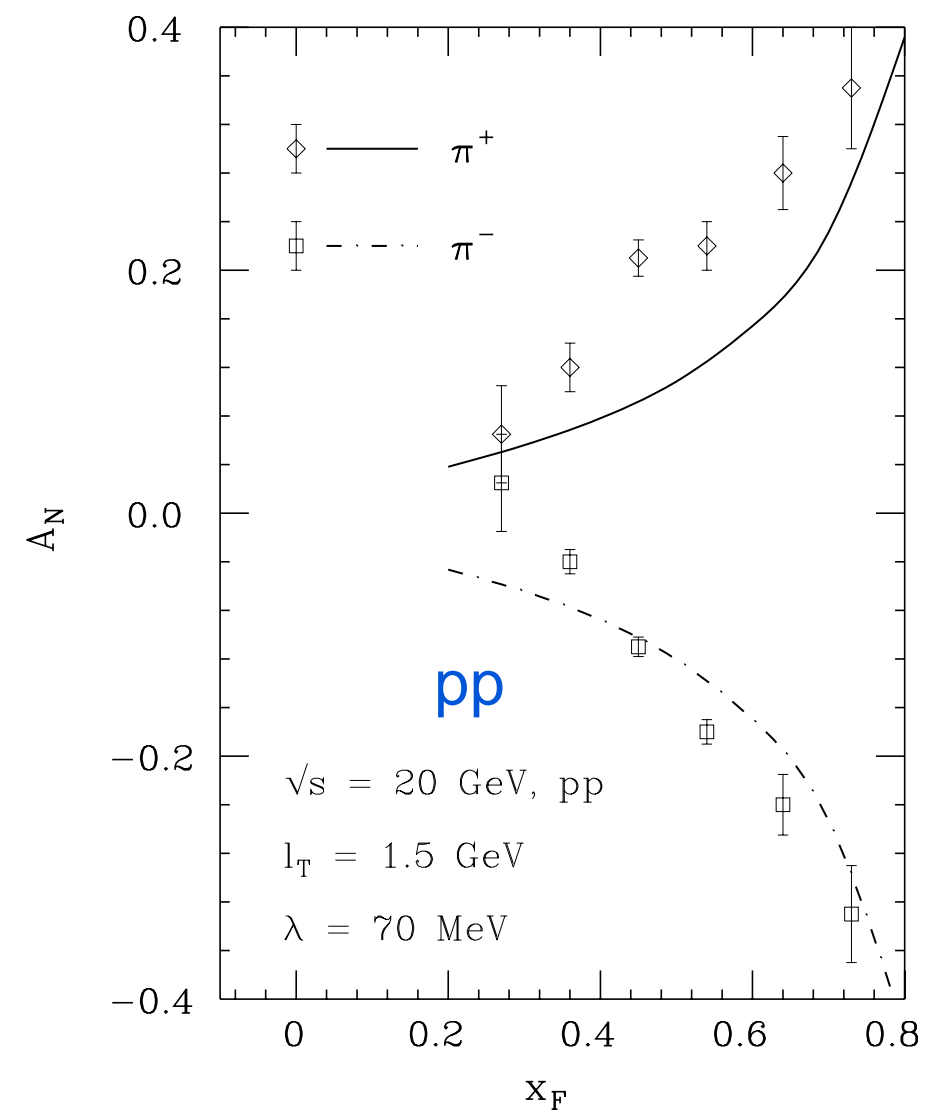
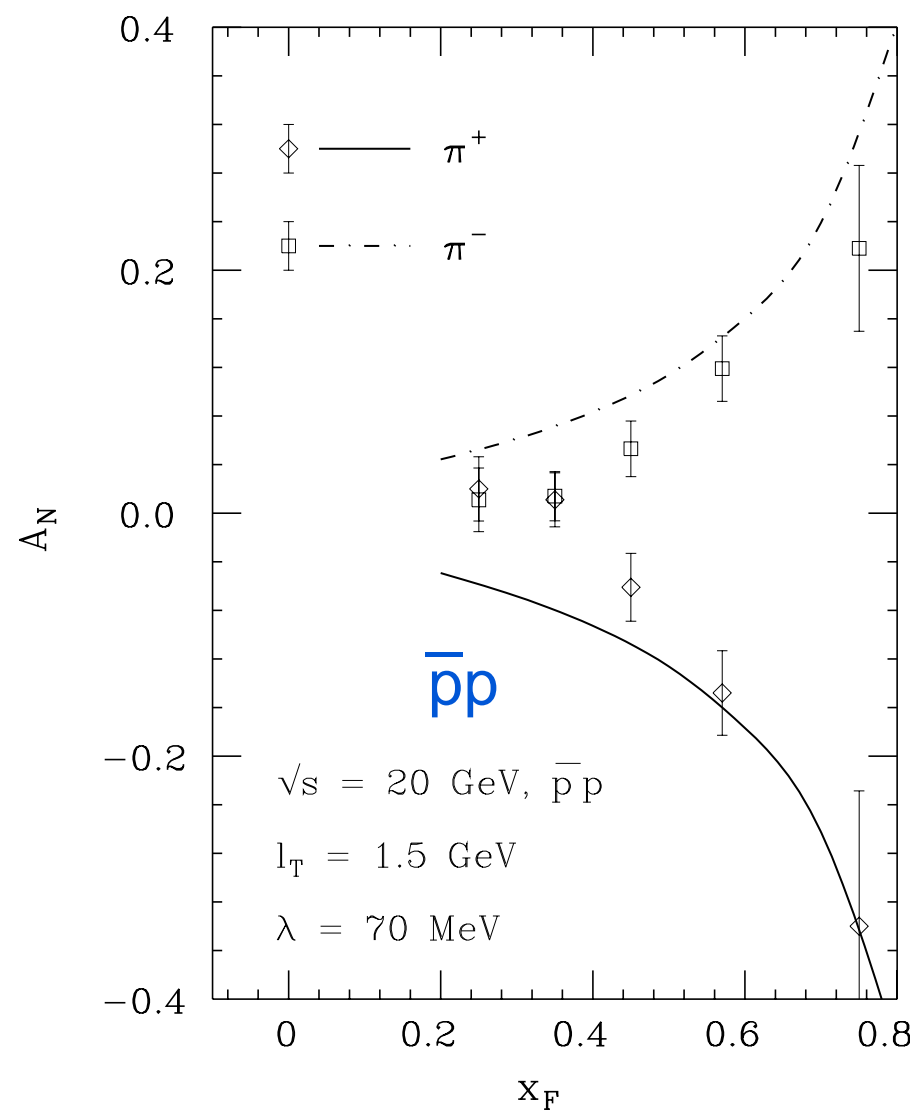
- Describe E704 data well with one parameter (valence quark approx.)

$$T_{u,F}(x,x) = \lambda_F \phi_u(x)$$

$$T_{d,F}(x,x) = -\lambda_F \phi_d(x)$$

$$\lambda_F = 0.07 \text{ GeV}$$

Qiu, Sterman, 1999

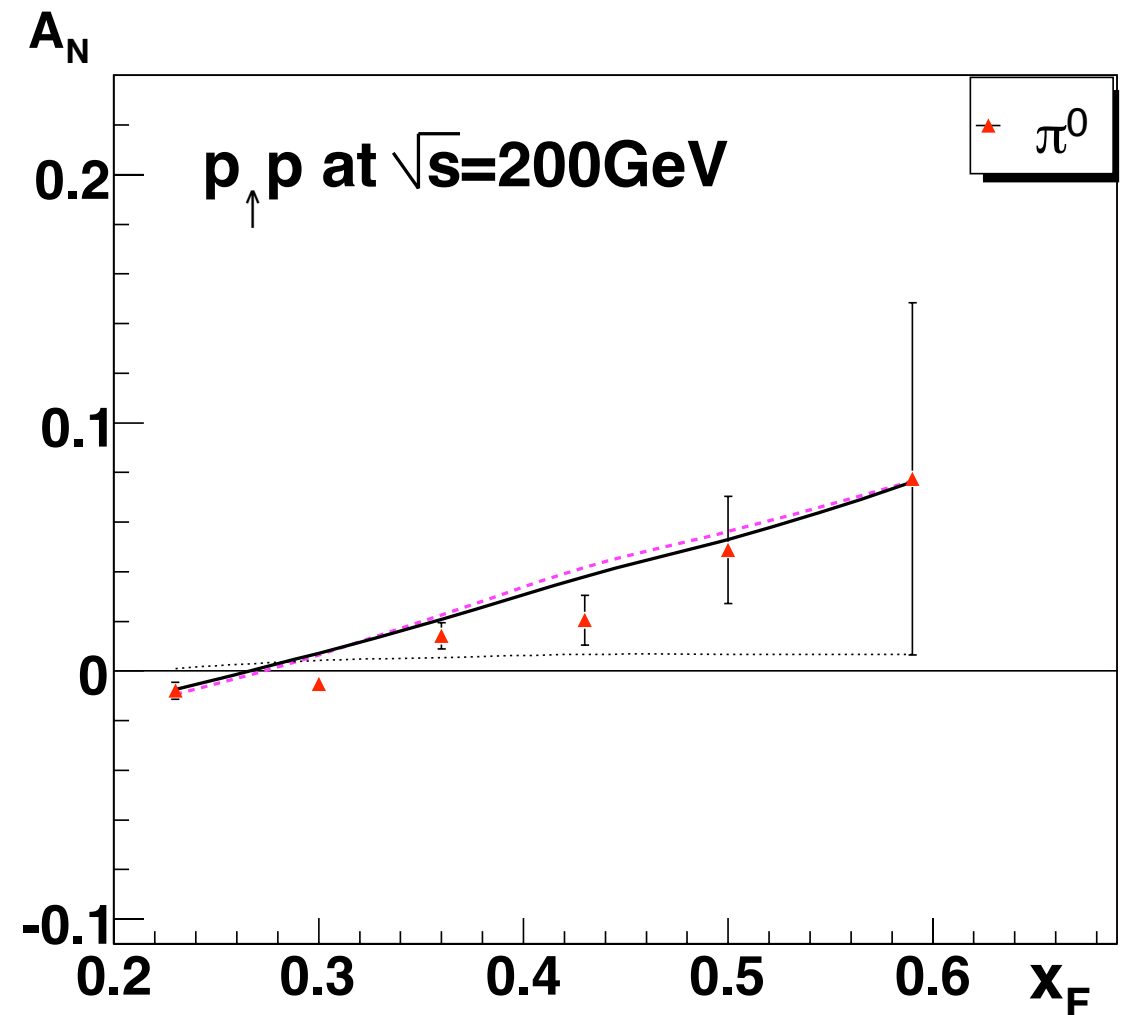
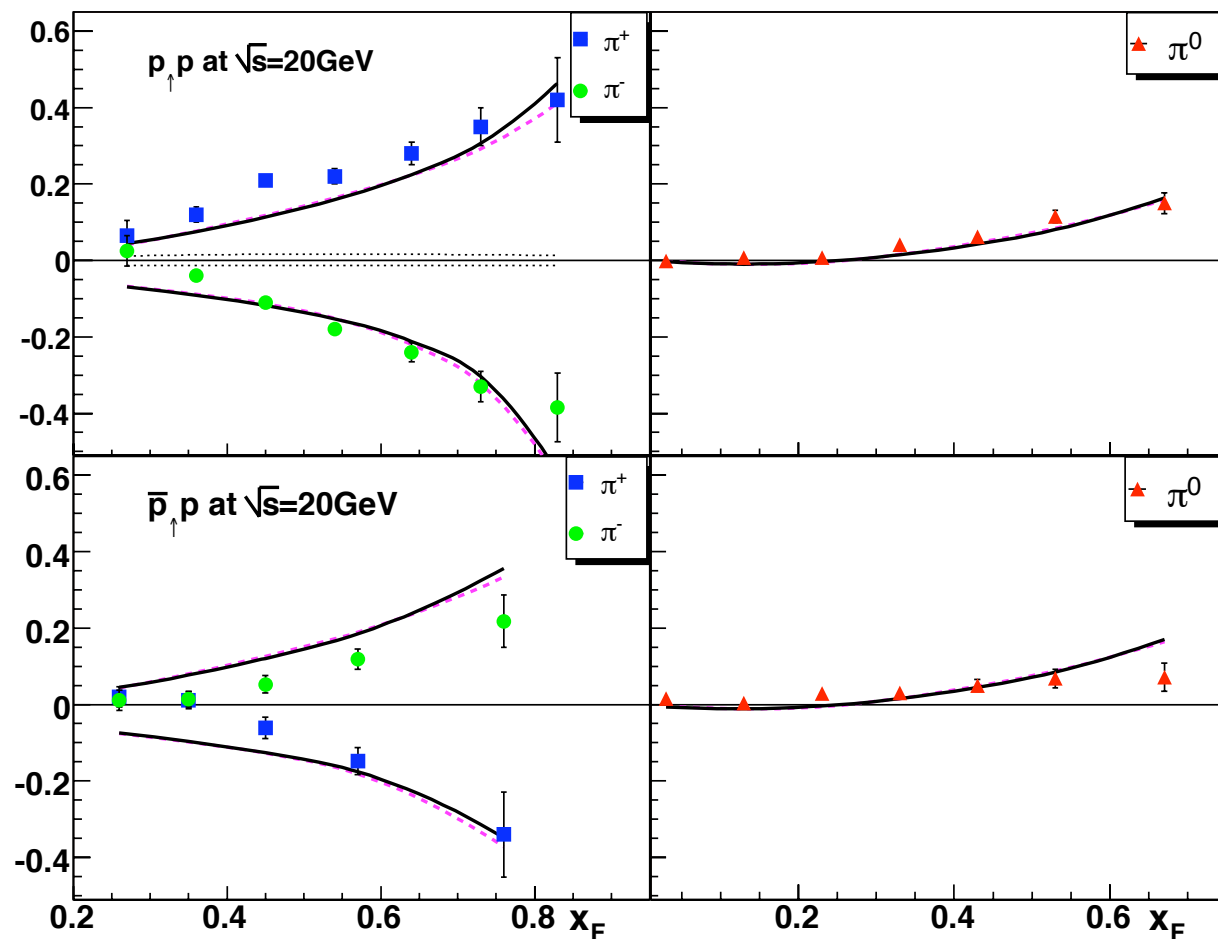


Twist-3 approach: initial success

- Describe both E704 and RHIC data simultaneously with a more sophisticated $T_{q,F}(x,x)$:

Kouvaris, Qiu, Vogelsang, Yuan, 2006

$$T_{q,F}(x, x) = N_q x^{\alpha_q} (1 - x)^{\beta_q} \phi_q(x)$$



Besides $T_{q,F}(x,x)$, there are other twist-3 correlation functions.
What about others, particularly gluon?

Twist-3 three-parton correlation functions

Three-gluon correlations:

$$\begin{aligned}\mathcal{M}^{\rho\sigma\lambda}(x_1, x_2) &= \int \frac{dy_1^- dy_2^-}{2\pi} e^{ix_1 p^+ y_1^- + i(x_2 - x_1) p^+ y_2^-} \frac{1}{p^+} \langle p, s_T | F_b^{\rho+}(0) g F_c^{\sigma+}(y_2^-) F_a^{\lambda+}(y_1^-) | p, s_T \rangle \\ &= \frac{1}{2} \left[(-g^{\rho\lambda})_{\perp} \epsilon^{\sigma s_T n \bar{n}} \left(C^{(f)} \tilde{T}_G^{(f)}(x_1, x_2) + C^{(d)} \tilde{T}_G^{(d)}(x_1, x_2) \right) \right. \\ &\quad \left. + (-i\epsilon_{\perp}^{\rho\lambda}) i s_T^{\sigma} \left(C^{(f)} \tilde{T}_{\Delta G}^{(f)}(x_1, x_2) + C^{(d)} \tilde{T}_{\Delta G}^{(d)}(x_1, x_2) \right) + \dots \right]\end{aligned}$$

two color structures, thus two types of three gluon correlations

$$C^{(f)} = \frac{1}{N_c(N_c^2 - 1)} (-i f_{abc}) \quad C^{(d)} = \frac{N_c}{(N_c^2 - 4)(N_c^2 - 1)} (d_{abc})$$

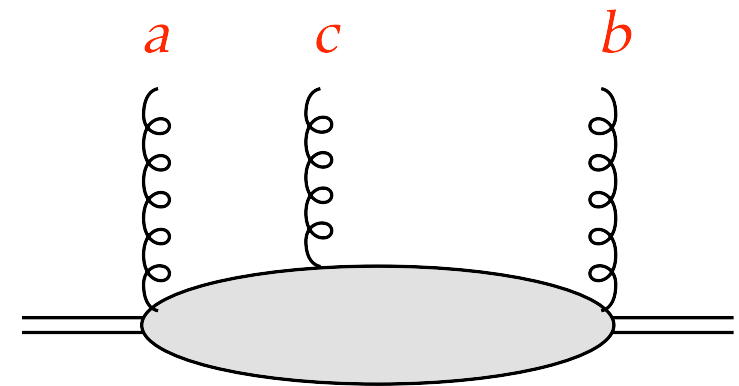
symmetry property:

$$\tilde{T}_G(x_1, x_2) = \tilde{T}_G(x_2, x_1)$$

$$\tilde{T}_{\Delta G}(x_1, x_2) = -\tilde{T}_{\Delta G}(x_2, x_1) \Rightarrow \tilde{T}_{\Delta G}(x, x) = 0$$

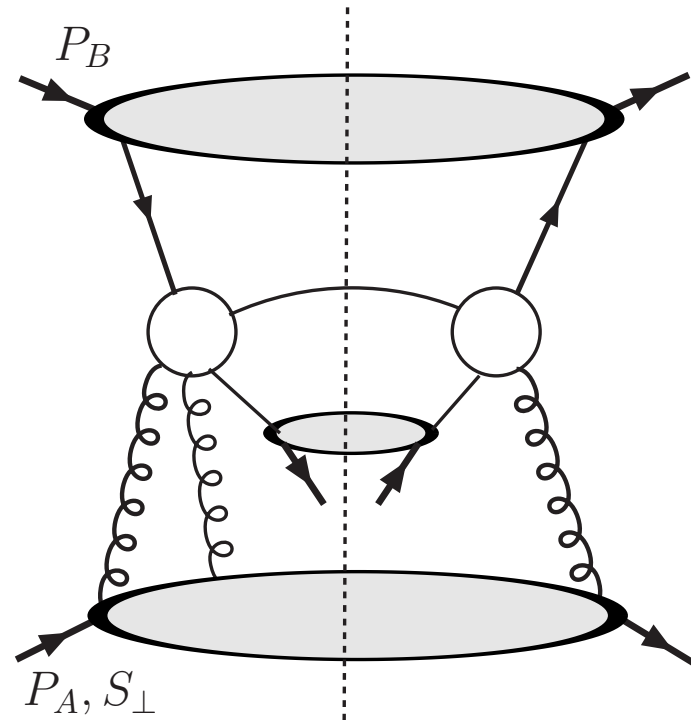
Relation between $T_G^{(f)}(x, x)$ and $f_{1T}^{\perp g}(x, k_{\perp}^2)$

$$T_G^{(f)}(x, x) = \int d^2 k_{\perp} \frac{|\vec{k}_{\perp}|^2}{M} f_{1T}^{\perp g}(x, k_{\perp}^2)$$



General pattern for gluon channels

- General Feynman diagram:

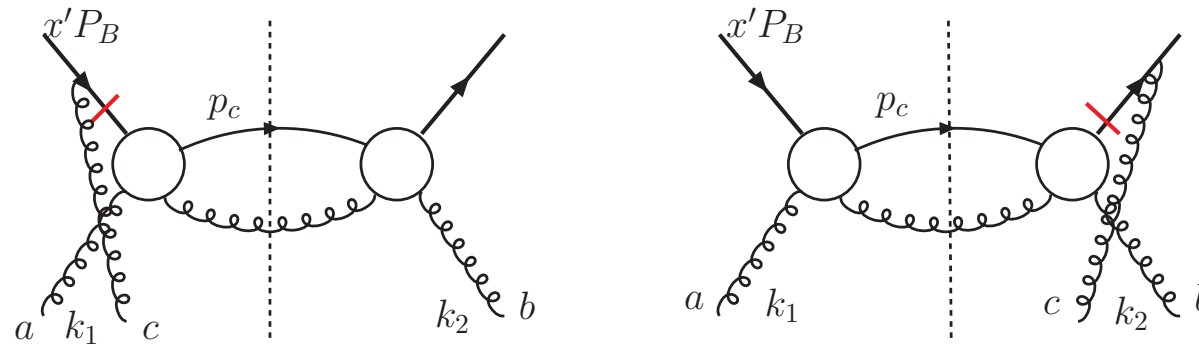


- General factorized form:

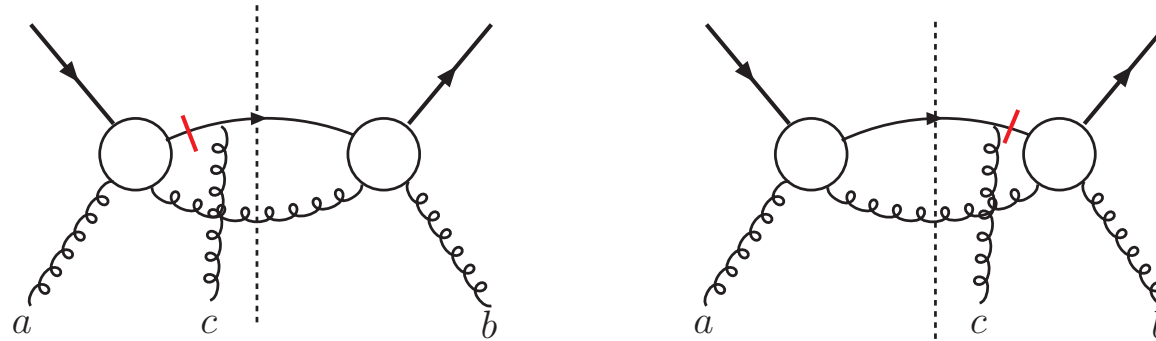
$$E_\ell \frac{d\Delta\sigma(S_\perp)}{d^3\ell} = \epsilon^{\alpha\beta} S_\perp^\alpha \ell_\perp^\beta \left[T_G^{(f)}(x, x) \otimes H_{gb \rightarrow c}^{(f)} + T_G^{(d)}(x, x) \otimes H_{gb \rightarrow c}^{(d)} \right] \\ \otimes \phi_b(x') \otimes D_{c \rightarrow h}(z)$$

Typical diagrams

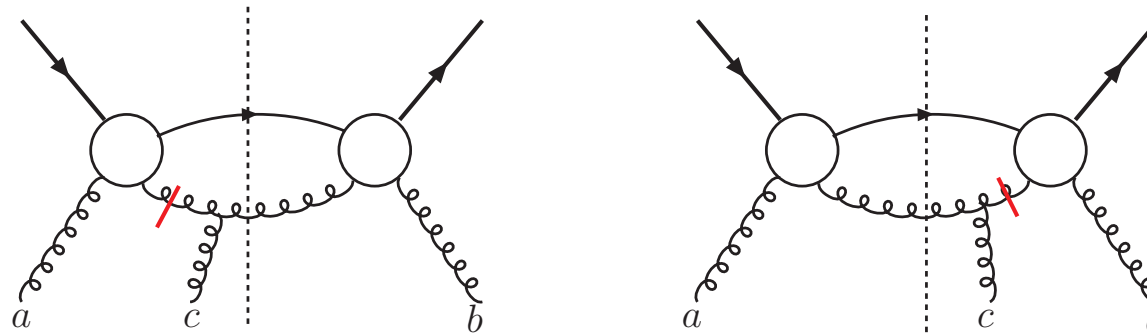
- $qg \rightarrow qg$ channel



(a)



(b)



(c)

- Similar for $gg \rightarrow qqbar$, $gg \rightarrow gg$ channels

Final results for three-gluon contributions

Factorized formula:

$$E_\ell \frac{d\Delta\sigma(S_\perp)}{d^3\ell} = \frac{\alpha_s^2}{S} \sum \int \frac{dz}{z^2} D_{c \rightarrow h}(z) \int \frac{dx'}{x'} \frac{1}{x'S + T/z} \phi_b(x') \frac{\epsilon^{\alpha\beta} S_\perp^\alpha \ell_\perp^\beta}{z(-\hat{u})} \\ \times \left[x \frac{\partial}{\partial x} \left(\frac{T_G^{(f)}(x, x)}{x} \right) H_{gb \rightarrow c}^{(f)} + x \frac{\partial}{\partial x} \left(\frac{T_G^{(d)}(x, x)}{x} \right) H_{gb \rightarrow c}^{(d)} \right]$$

Hard parts for $qg \rightarrow qg$ channel: $H_{gb \rightarrow c} = H_{gb \rightarrow c}^I + H_{gb \rightarrow c}^F \left(1 + \frac{\hat{u}}{\hat{t}} \right)$

$$H_{gq \rightarrow q}^{(f)I} = \left(-\frac{1}{N_c^2 - 1} \right) \frac{2(-\hat{t})(\hat{s}^2 + \hat{t}^2)}{\hat{s}\hat{u}^2} + \frac{2}{N_c^2(N_c^2 - 1)} \left[\frac{\hat{s}}{-\hat{t}} + \frac{-\hat{t}}{\hat{s}} \right],$$

$$H_{gq \rightarrow q}^{(d)I} = -H_{gq \rightarrow q}^{(f)I},$$

$$H_{gq \rightarrow g}^{(f,d)I} = H_{gq \rightarrow q}^{(f,d)I} (\hat{t} \leftrightarrow \hat{u}),$$

$$H_{gq \rightarrow q}^{(f)F} = \frac{1}{N_c^2 - 1} \frac{2\hat{s}(\hat{s}^2 + \hat{t}^2)}{(-\hat{t})\hat{u}^2} - \frac{2}{N_c^2(N_c^2 - 1)} \left[\frac{\hat{s}}{-\hat{t}} + \frac{-\hat{t}}{\hat{s}} \right],$$

$$H_{gq \rightarrow q}^{(d)F} = H_{gq \rightarrow q}^{(f)F},$$

$$H_{gq \rightarrow g}^{(f)F} = \frac{1}{N_c^2 - 1} \frac{2(\hat{s}^2 + \hat{u}^2)^2}{\hat{t}^2 \hat{s}(-\hat{u})},$$

$$H_{gq \rightarrow g}^{(d)F} = \frac{1}{N_c^2 - 1} \frac{2(\hat{s} - \hat{u})(\hat{s}^2 + \hat{u}^2)}{\hat{s}\hat{t}\hat{u}}$$



Physics relevant to RHIC spin program

- Gluon's role on generating SSAs

- Many other processes also receive contribution from tri-gluon correlations

- Single inclusive hadron: $p^\uparrow p \rightarrow \pi + X$ or D meson
- Single jet production: $p^\uparrow p \rightarrow jet + X$
- Direct photon: $p^\uparrow p \rightarrow \gamma + X$
- J/ Ψ production: $p^\uparrow p \rightarrow J/\psi + X$
- Drell-Yan: $p^\uparrow p \rightarrow [\gamma^* \rightarrow \ell\bar{\ell}] + X$

- Global fitting with both $T_{q,F}(x,x)$ and $T_G(x,x)$ included

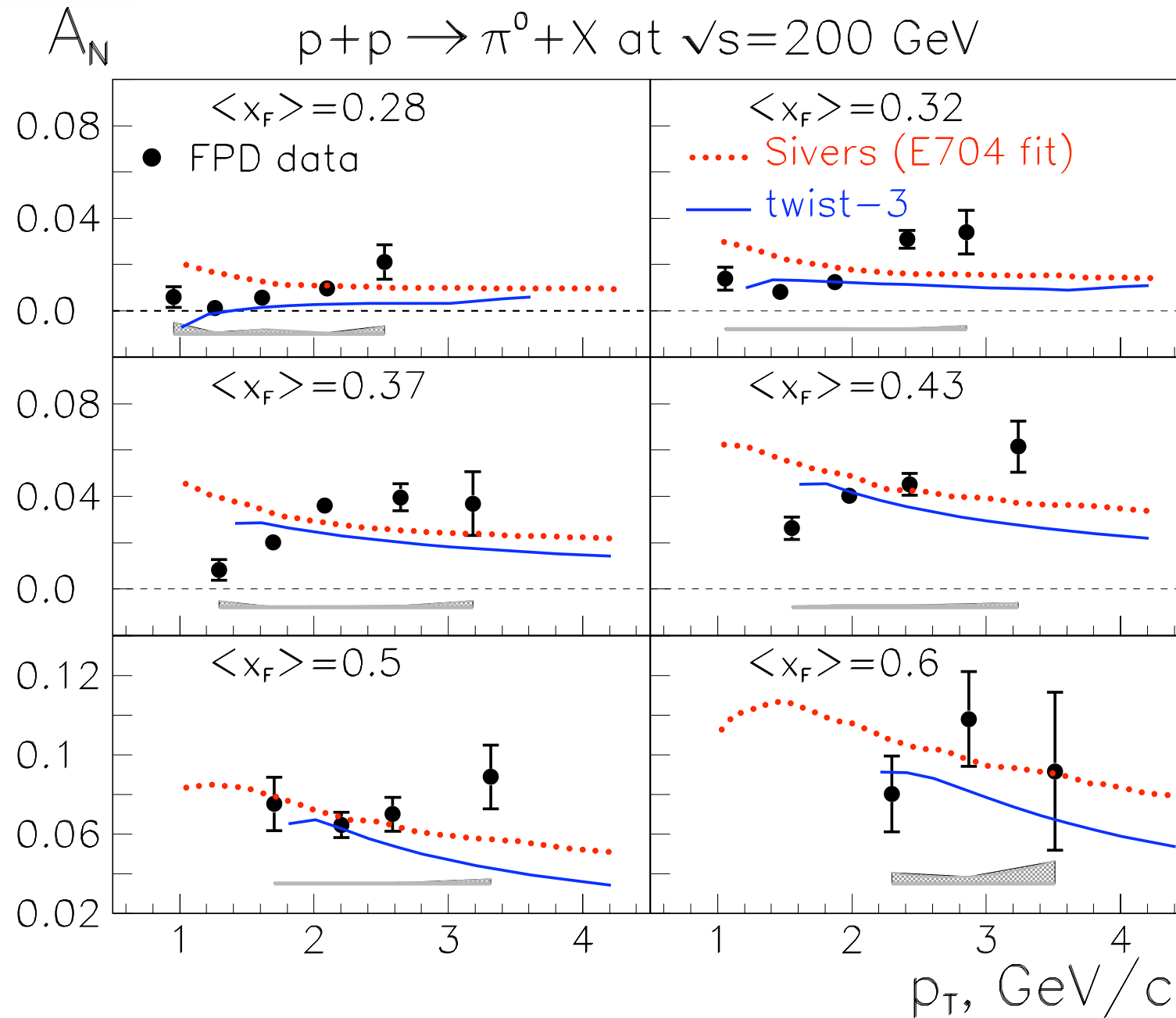
- Comparing theoretical SSAs with the experimental data from:
 - E704
 - STAR
 - PHENIX
- Extract first ever information on $T_G(x,x)$ (also update $T_{q,F}(x,x)$)

New surprise from experiments

- pT dependence of asymmetry for pion production: puzzle?



STAR, PRL 101, 222001 (2008)





What should A_N look like?



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- A_N behavior from low p_T to high p_T

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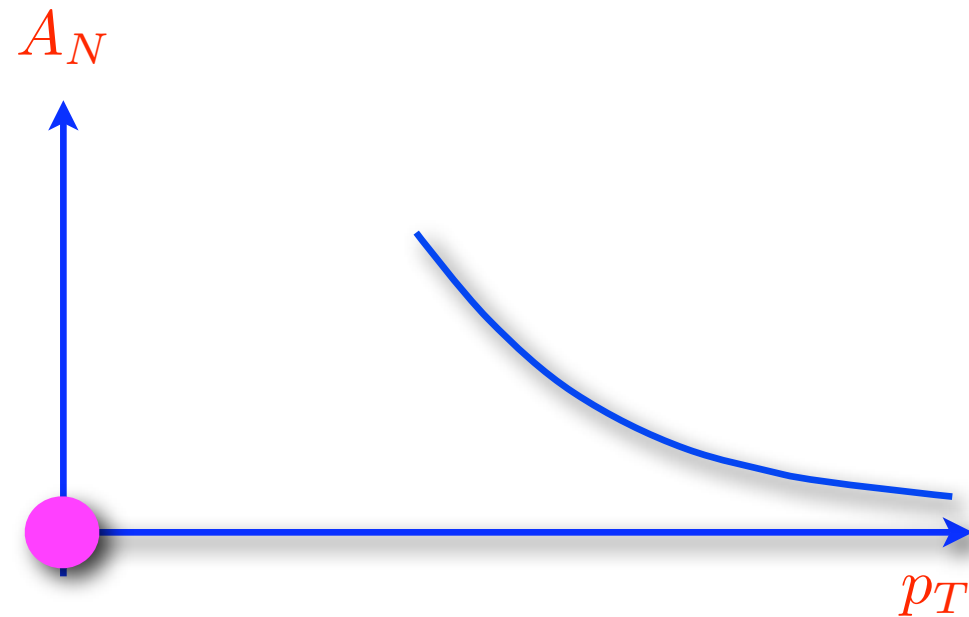
- A_N behavior from low p_T to high p_T



- $p_T=0$: $A_N=0$
no plane any more

What should A_N look like?

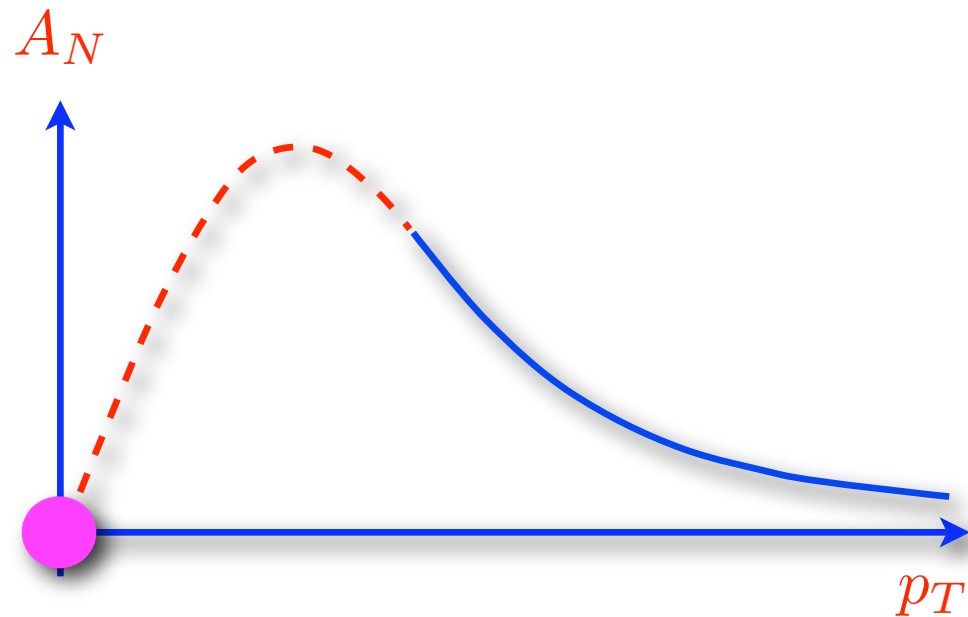
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- very large p_T : approach to 0
higher-twist, suppressed by $1/p_T$

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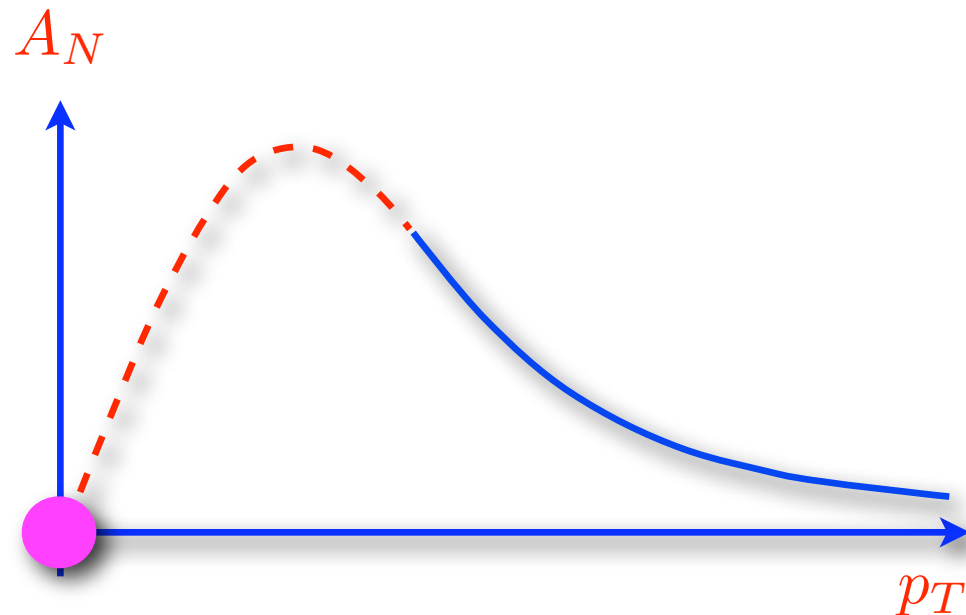
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- Natural connection: all power resummation?

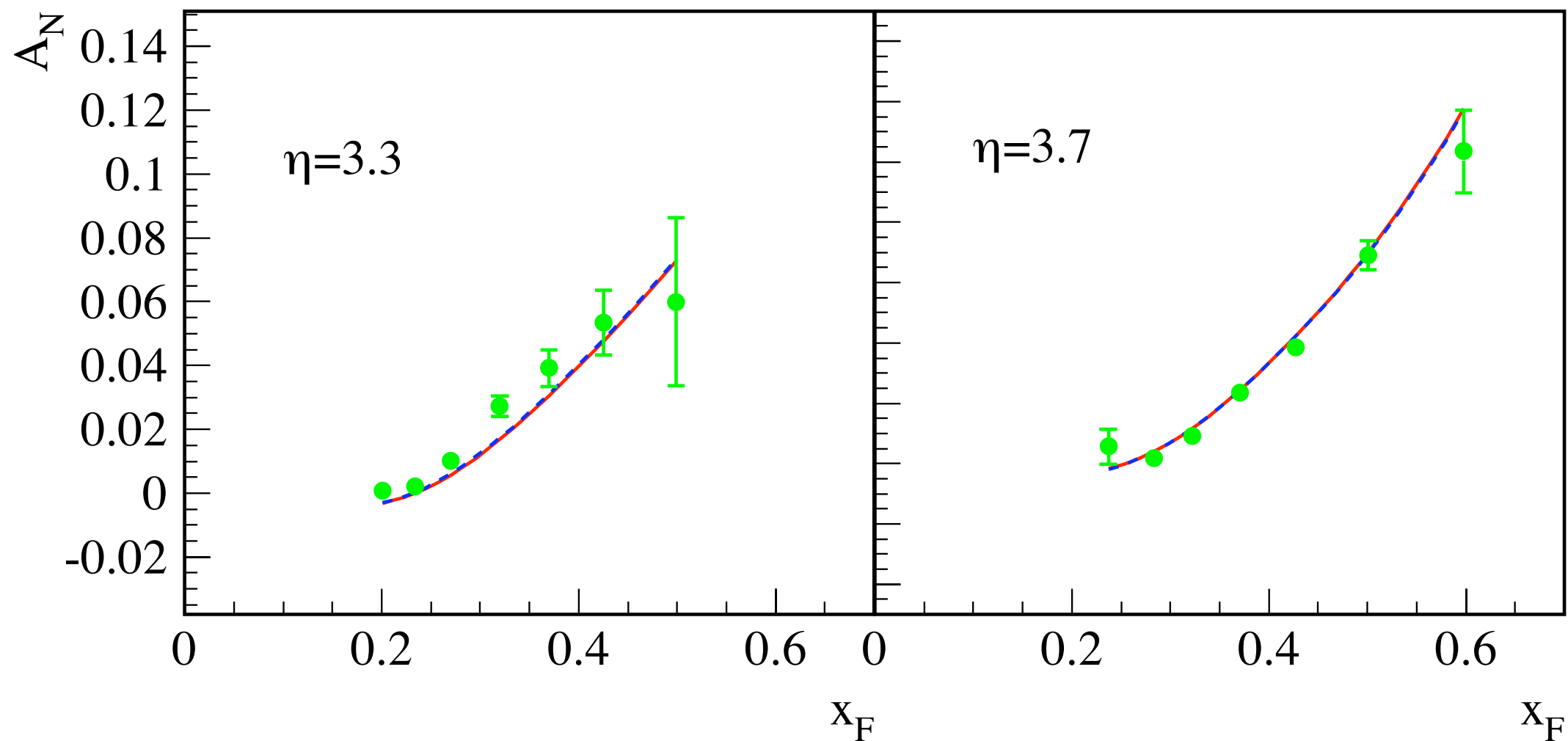
$$A_N \approx \frac{\alpha}{p_T} - \frac{\alpha'}{p_T^3} + \dots = \frac{\alpha}{p_T} \left(1 - \frac{\Delta^2}{p_T^2} + \dots \right) \approx \frac{\alpha}{p_T} \frac{1}{1 + \frac{\Delta^2}{p_T^2}} = \alpha \cdot \frac{p_T}{p_T^2 + \Delta^2}$$

$$\Delta^2 = \frac{\alpha'}{\alpha}$$

$A_N:$	$\frac{1}{p_T}$	\rightarrow	$\frac{p_T}{p_T^2 + \Delta^2}$
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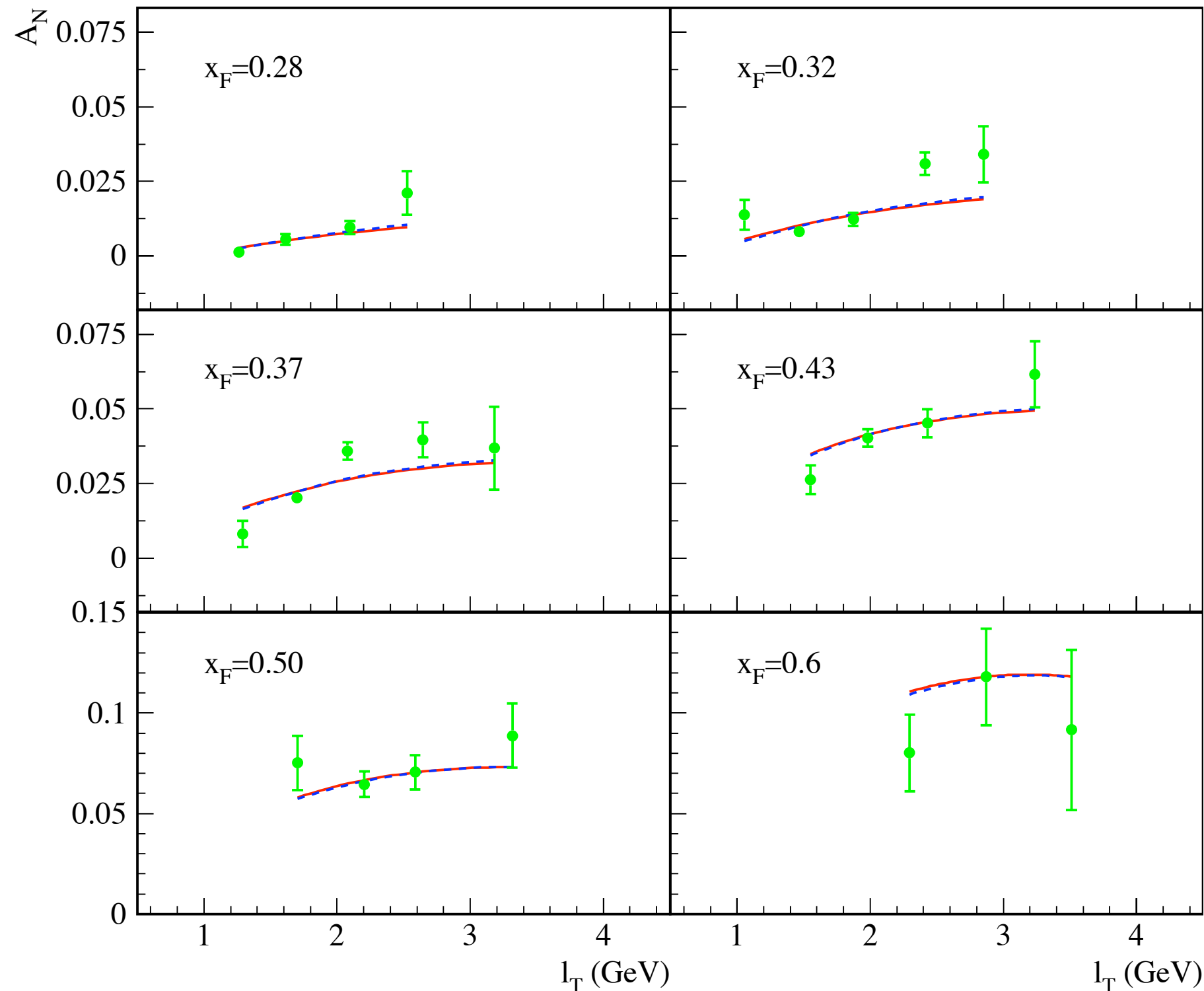
x_F behavior of the SSA

- Two fits: red solid without $T_G(x, x)$, blue dashed with $T_G(x, x)$



New fitting with a new parameter Δ

- New fitting compared with STAR data:



Two fits:

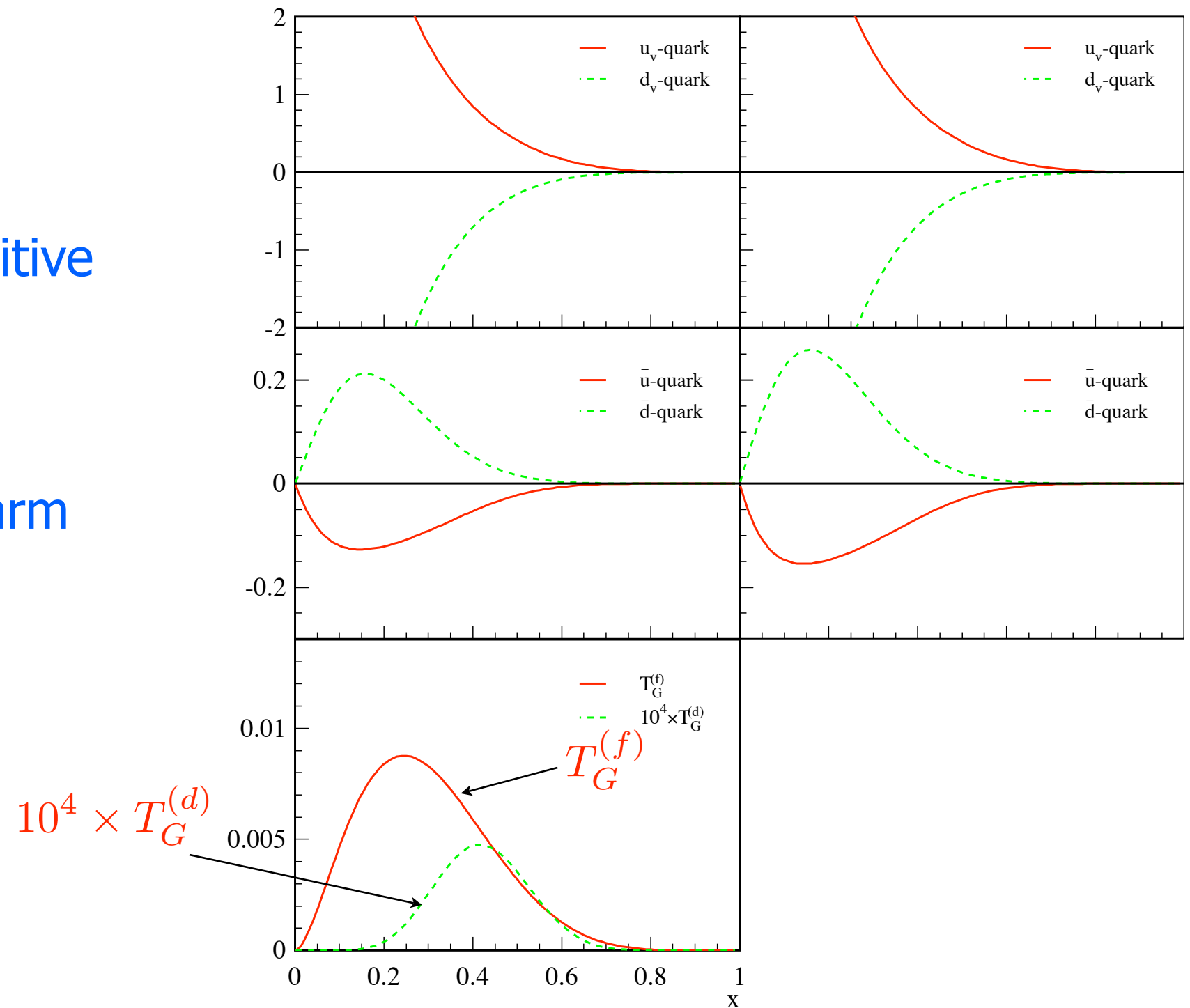
Red solid: without $T_G(x, x)$

Blue dashed: with $T_G(x, x)$

$\Delta \sim 3$ GeV

Three-gluon correlation functions

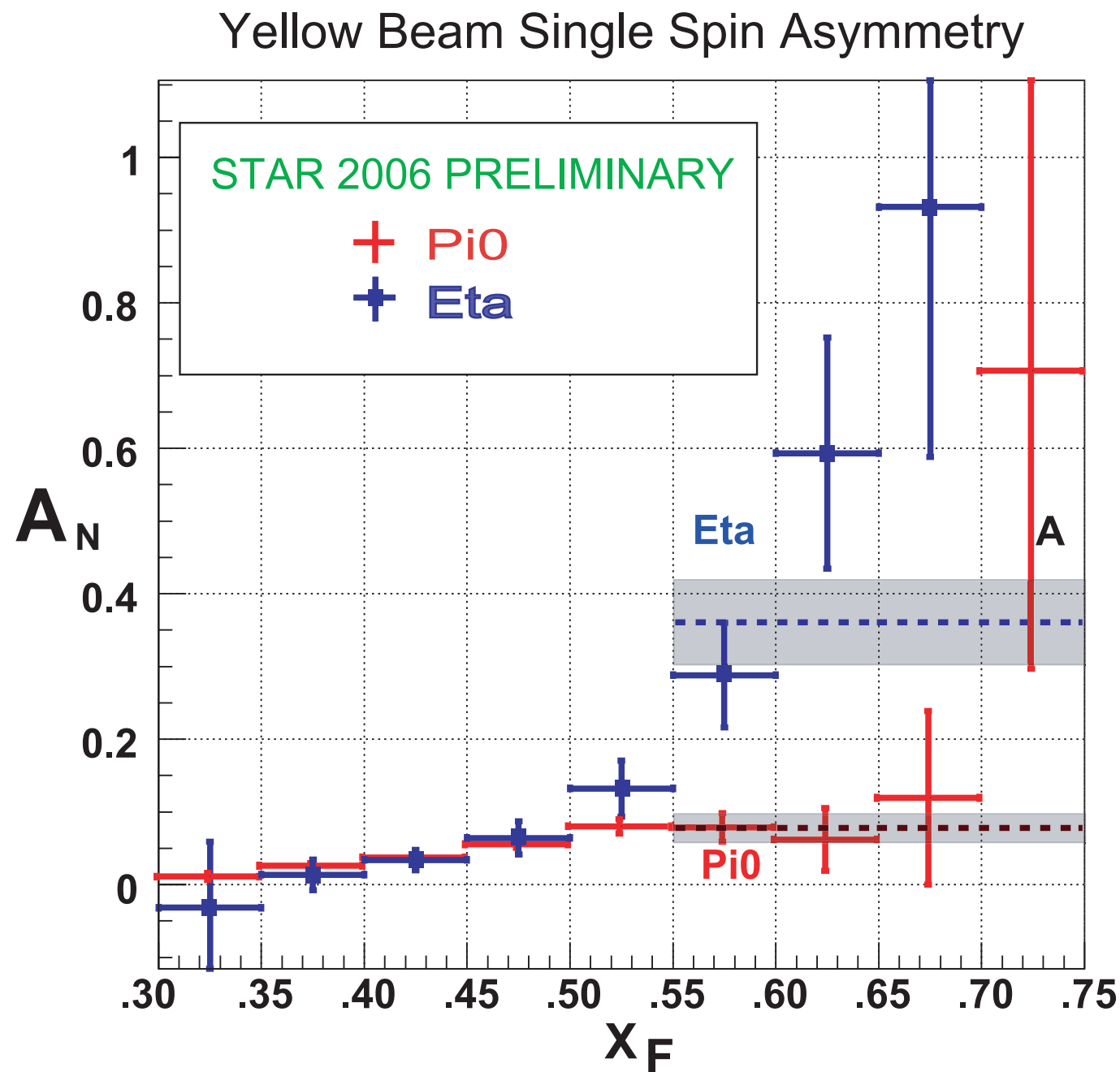
- Seems three-gluon correlation functions are pretty small
 - $T_G^{(f)}$ is small
 - $T_G^{(d)}$ is even smaller
- Pion is not very sensitive to gluon
- Still hoping open charm



Another surprise from experiments: eta meson

- SSA of η meson is much larger than π^0 :
 - So far, η meson has looked like a “high-mass, low-yield π^0 ”

STAR, arXiv: 0905.2840



How should we solve this puzzle?

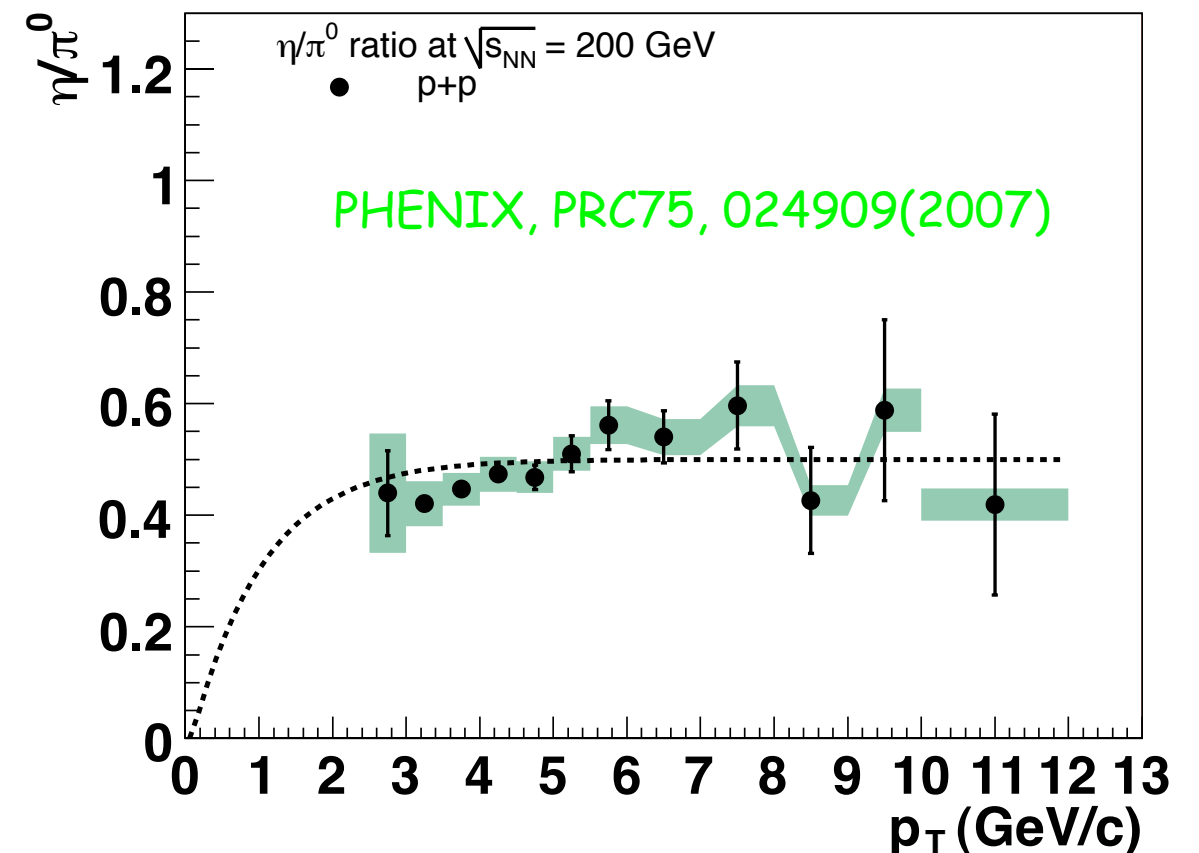
- Could it be described in twist-3 from PDF?

- Unlikely

$$A_N \propto T_{a,F} \otimes \phi_{b/B} \otimes H_{ab \rightarrow c} \otimes D_{c \rightarrow \pi^0}$$

The only difference is coming from

- unpolarized fragmentation function for π^0 and η , which is similar



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The only difference is coming from

- unpolarized fragmentation function for π^0 and η , which is similar

- Collinear version of Collins effect

- correct twist-3 correlation function from FF

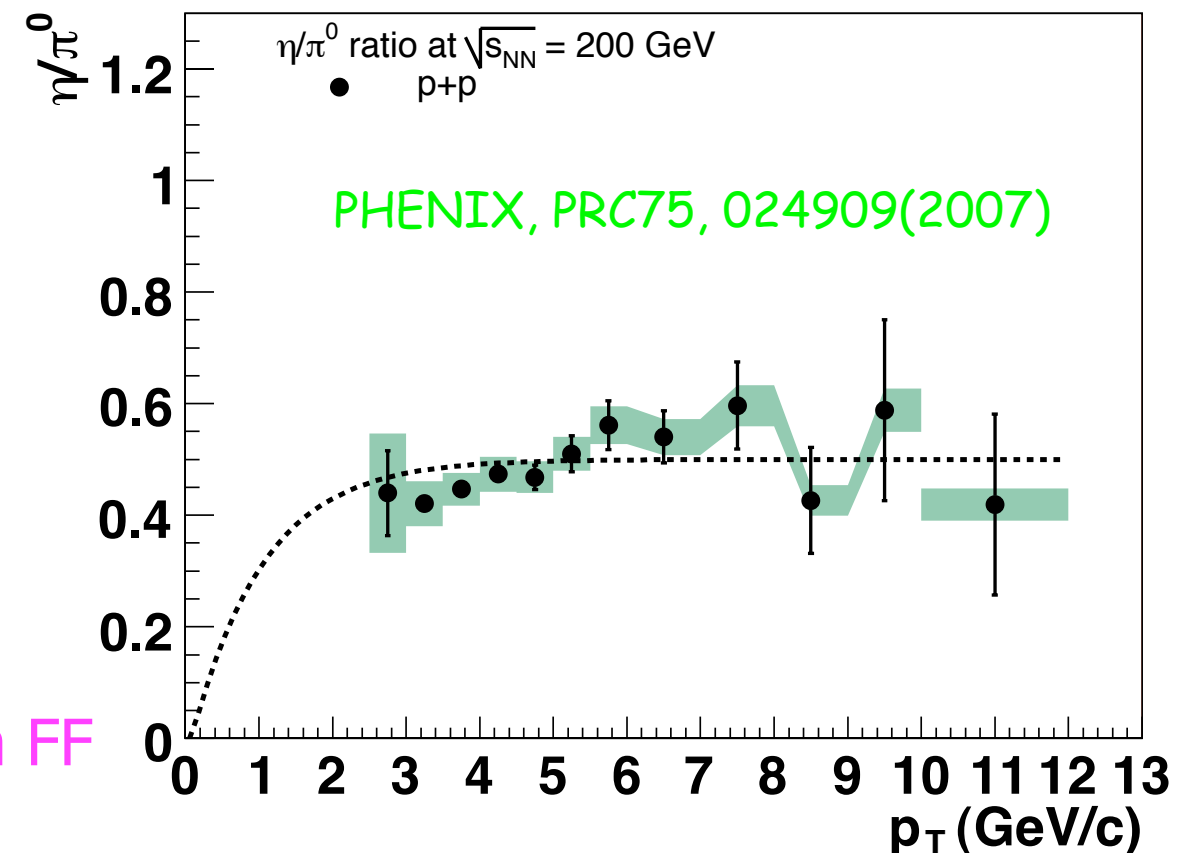
Yuan, Zhou, PRL103, 052001 (2009)

- Collins effect for single inclusive meson production in pp collisions

$$A_N \propto \delta\phi_{a/A} \otimes \phi_{b/B} \otimes H_{ab \rightarrow c} \otimes \hat{H}_{c \rightarrow \pi^0}$$

- Hard parts $H_{ab \rightarrow c}$: Kang, Yuan, Zhou, PLB 2010, in press

- Model calculation of $\hat{H}_{c \rightarrow \pi^0}$: Bacchetta, Gamberg, in progress



Twist-3 fragmentation contribution

- Definition of twist-3 correlation function

$$\hat{H}(z) = \frac{z^2}{2} \int \frac{d\xi^-}{2\pi} e^{ik^+\xi^-} \frac{1}{2} \left\{ \text{Tr} \sigma^{\alpha+} \langle 0 | \left[iD_T^\alpha + \int_{\xi^-}^{+\infty} d\zeta^- g F^{\alpha+}(\zeta^-) \right] \psi(\xi) | P_h X \rangle \right. \\ \left. \times \langle P_h X | \bar{\psi}(0) | 0 \rangle + h.c. \right\}$$

- Related to Collins function:

$$\hat{H}(z) = \int d^2 p_T \frac{|\vec{p}_T|^2}{2M_h} H_1^\perp(z, p_T^2)$$

- Derivative piece only so far:

$$E_h \frac{d^3 \Delta\sigma(S_\perp)}{d^3 P_h} = \epsilon_{\perp\alpha\beta} S_\perp^\alpha \frac{2\alpha_s^2}{S} \sum_{a,b,c} \int_{x'_{min}}^1 \frac{dx'}{x'} f_b(x') \frac{1}{x} h_a(x) \int_{z_{min}}^1 \frac{dz}{z} \left[-z \frac{\partial}{\partial z} \left(\frac{\hat{H}(z)}{z^2} \right) \right] \\ \times \frac{1}{x'S + T/z} \left(\frac{P_h^\beta}{z} \right) \frac{x - x'}{x(-\hat{u}) + x'(-\hat{t})} H_{ab \rightarrow c}(\hat{s}, \hat{t}, \hat{u})$$

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Kang, Koike, Yuan, Zhou, hope to work out complete piece

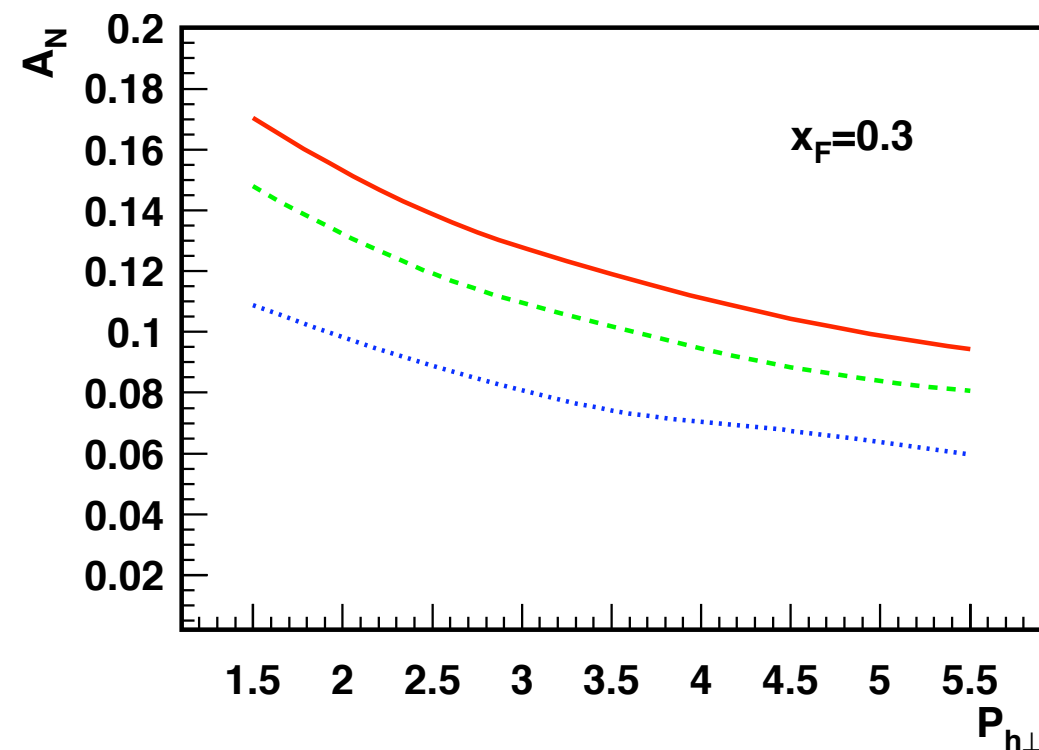
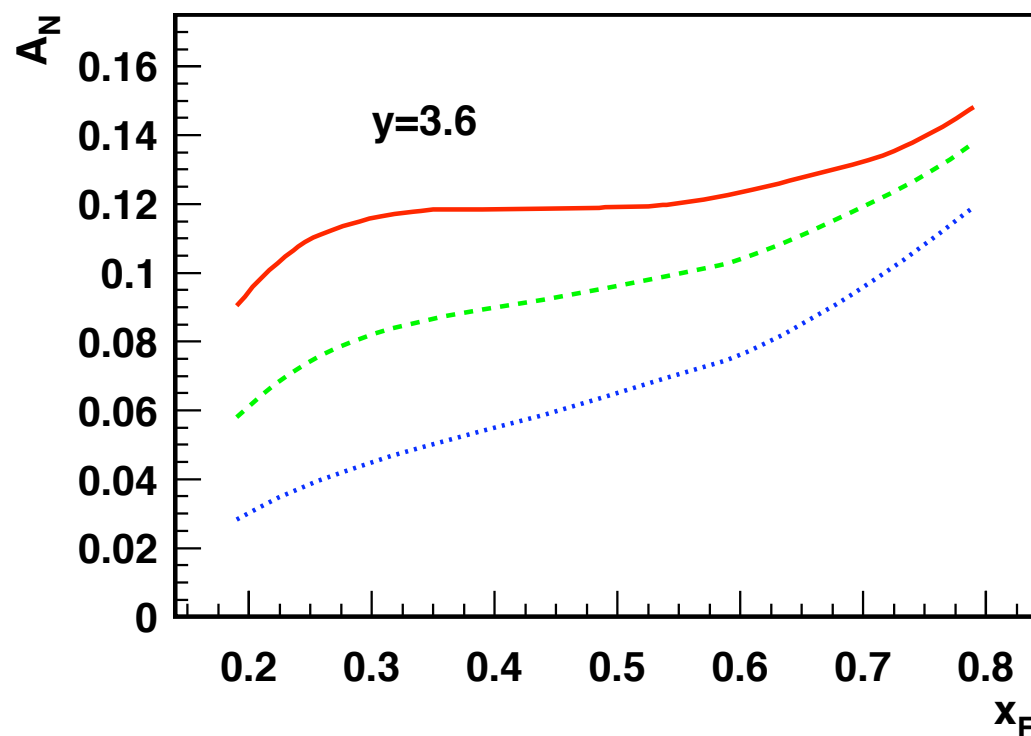
Some rough predictions

- Model for $H(z)$:

$$\hat{H}(z) = C_f z^a (1 - z)^b D(z)$$

- $b=0$ from power counting arguments at $z \rightarrow 1$
- $a=1, 2, 4$ with $C_f=-0.4$

- Estimate for π^0 production



- Size of contribution to SSA depends on $H(z)$, need more data or independent measurements (jet production to separate, or from BELLE?)

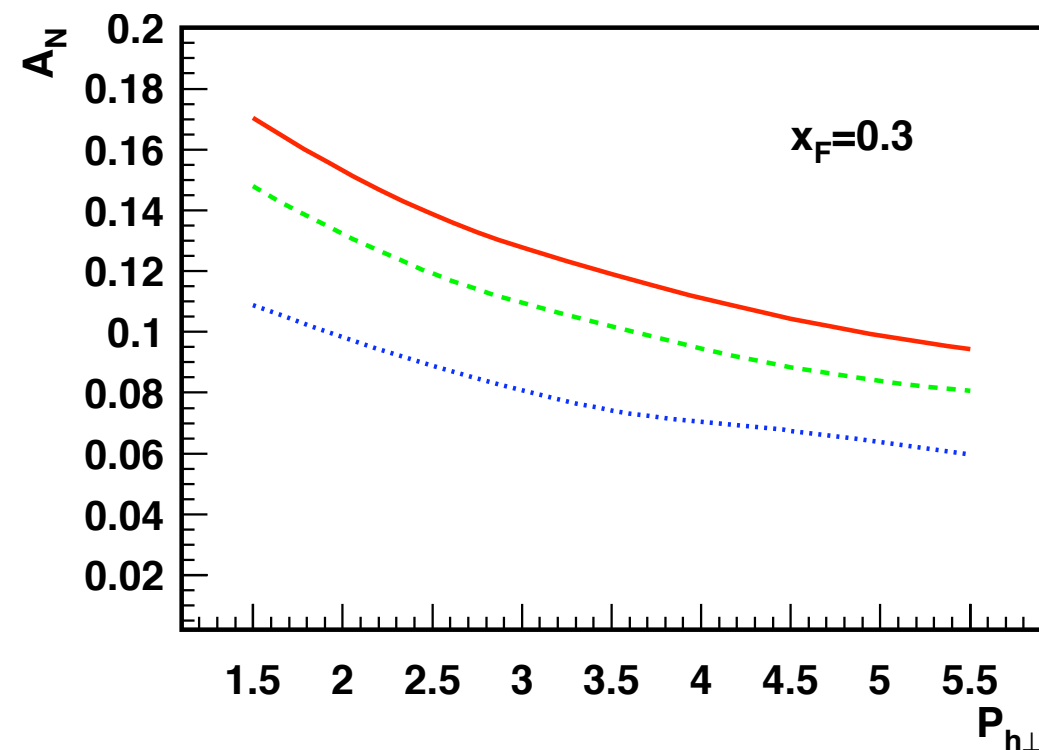
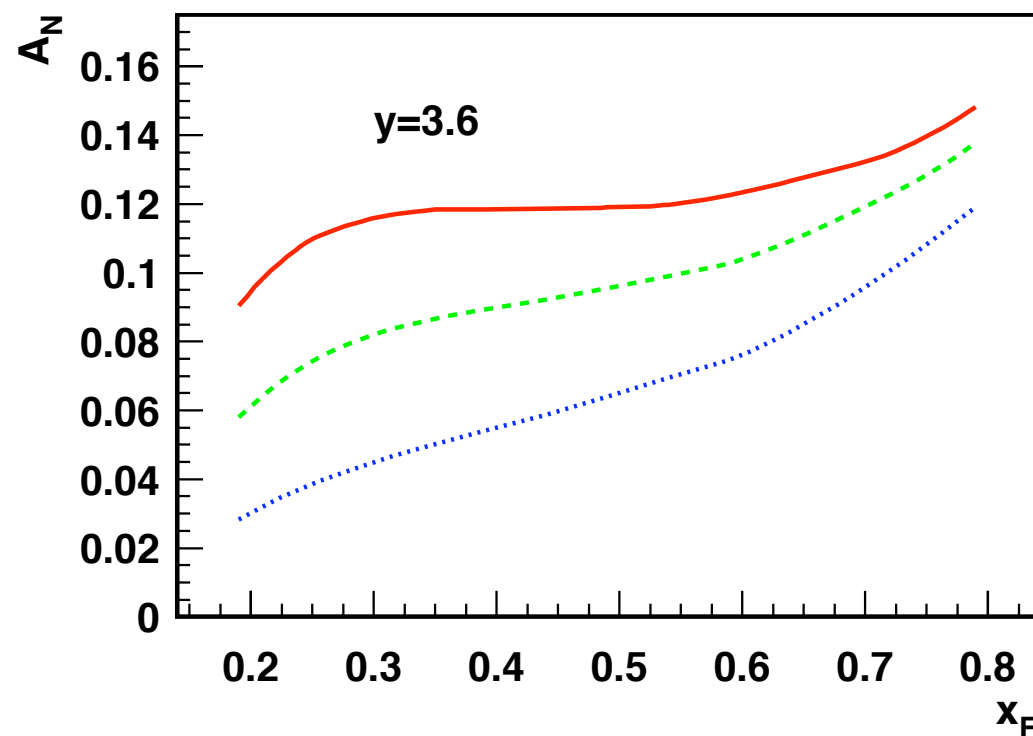
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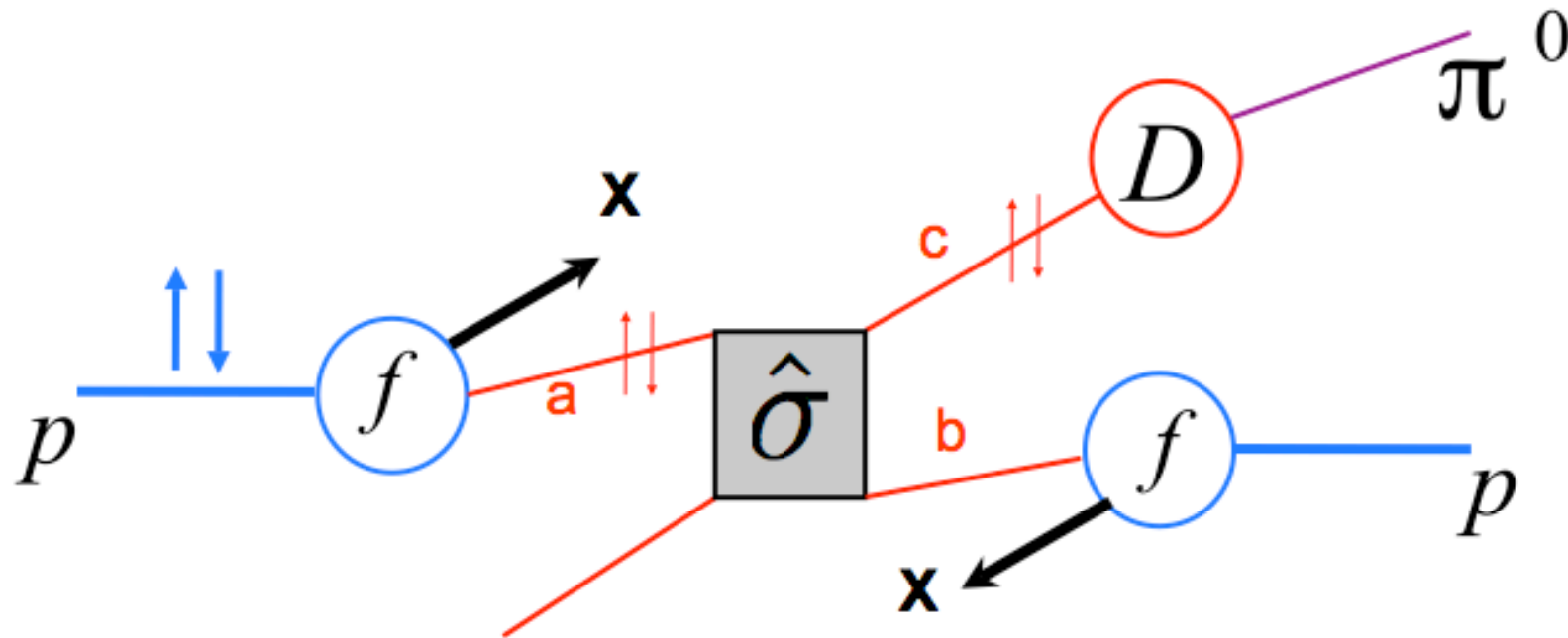


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TMD approach for inclusive hadron production

- Generalized Parton Model (GPM) approach

(assuming factorization)

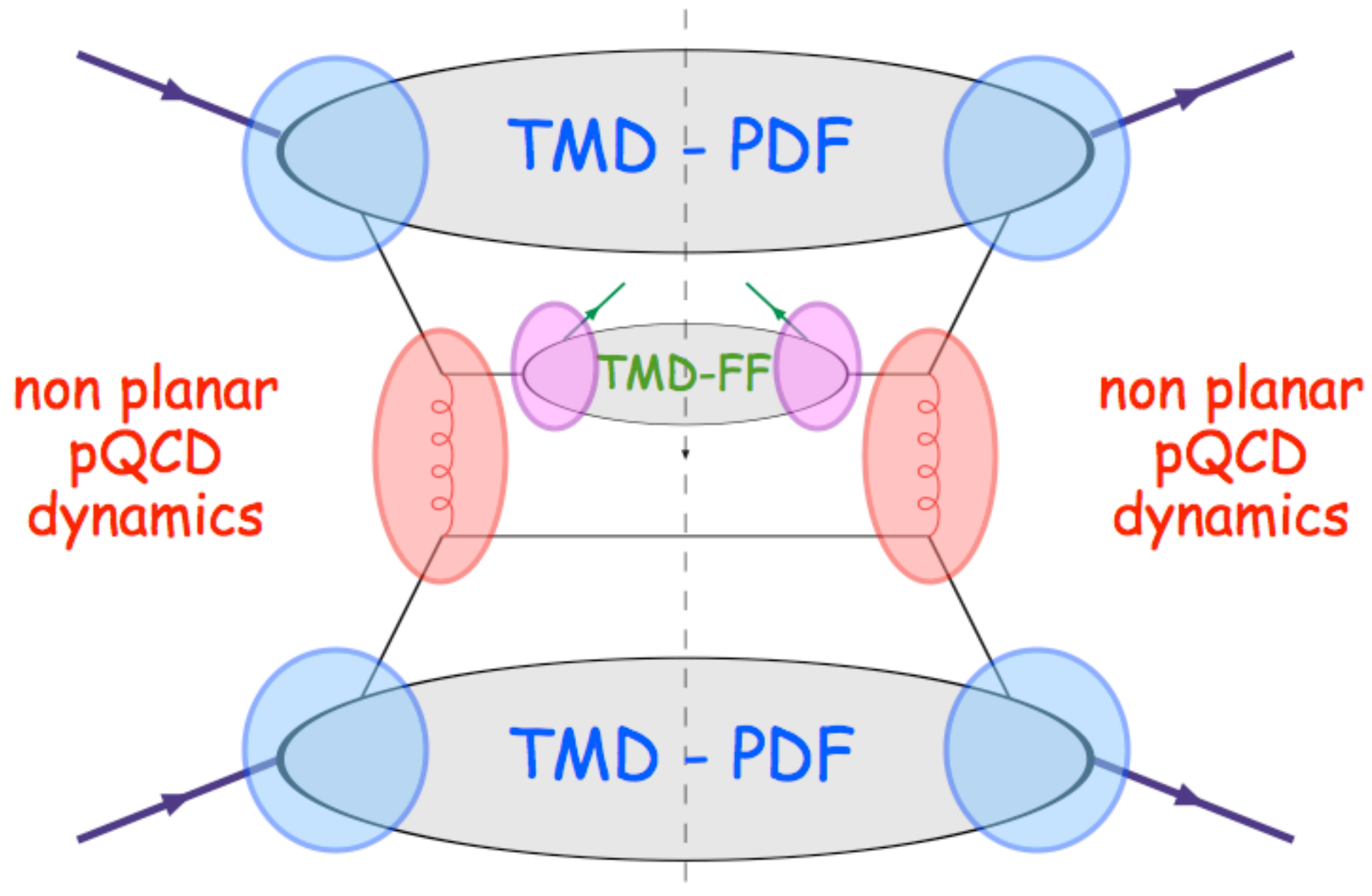


$$d\sigma^\uparrow = \sum_{a,b,c=q,\bar{q},g} \underbrace{f_{a/p^\uparrow}(x_a, \mathbf{k}_{\perp a})}_{\text{single spin effects in TMDs}} \otimes \underbrace{f_{b/p}(x_b, \mathbf{k}_{\perp b})}_{\text{single spin effects in TMDs}} \otimes d\hat{\sigma}^{ab \rightarrow cd}(\mathbf{k}_{\perp a}, \mathbf{k}_{\perp b}) \otimes \underbrace{D_{\pi/c}(z, \mathbf{p}_{\perp \pi})}_{\text{single spin effects in TMDs}}$$

M.A., M. Boglione, U. D'Alesio, E. Leader, S. Melis, F. Murgia, A. Prokudin, ...
(first proposed by Field-Feynman in unpolarized case)

General diagram for the effects

- Assume a TMD factorization is valid:



Sivers effect in the GPM approach

- The general formalism:

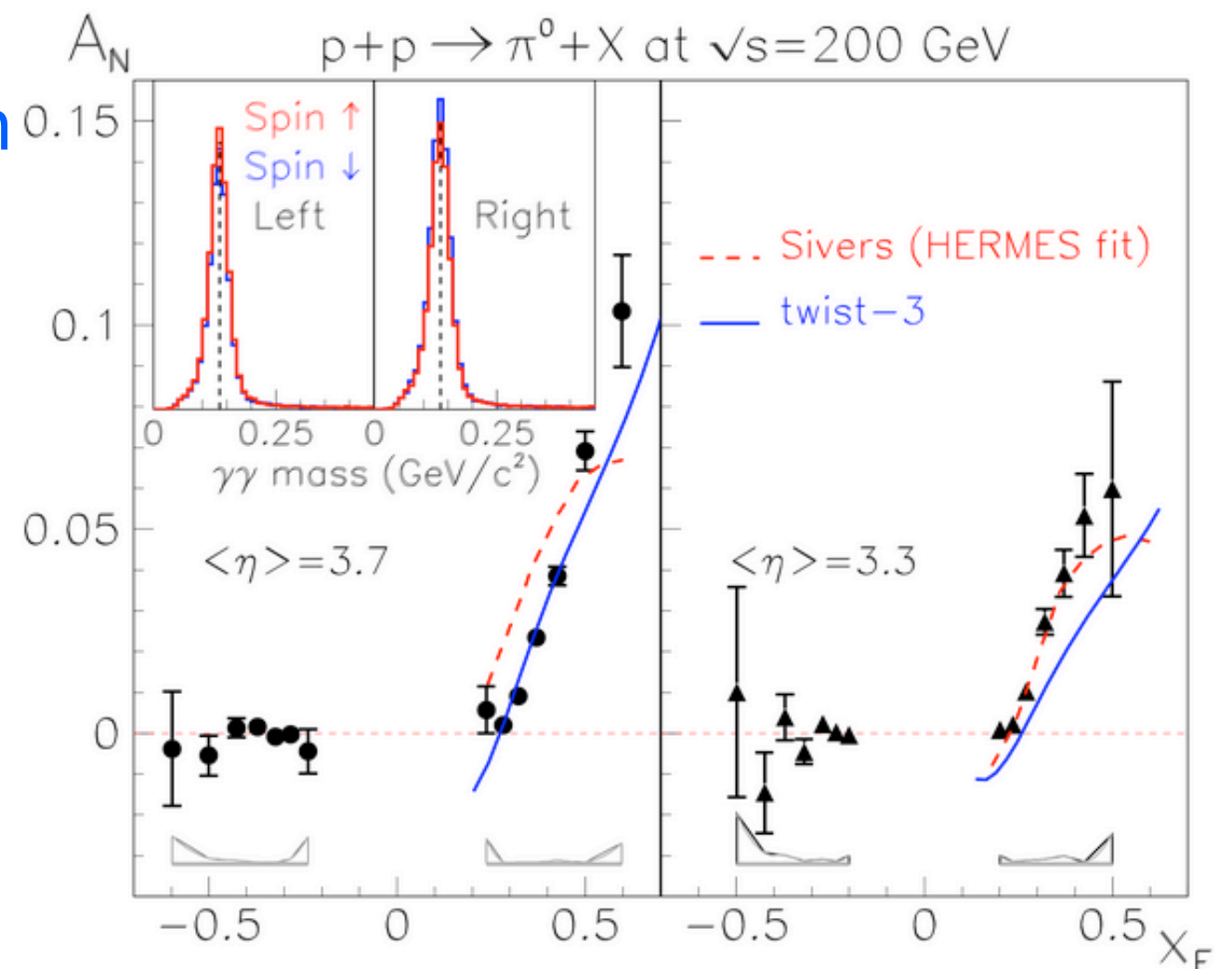
- Spin-dependent cross section:

$$d\Delta\sigma \propto f_{1T}^\perp(x_a, k_{aT}) \otimes f_{b/B}(x_b, k_{bT}) \otimes H_{ab \rightarrow c}^U \otimes D_{h/c}(z_c, p_T)$$

- Spin-averaged cross section:

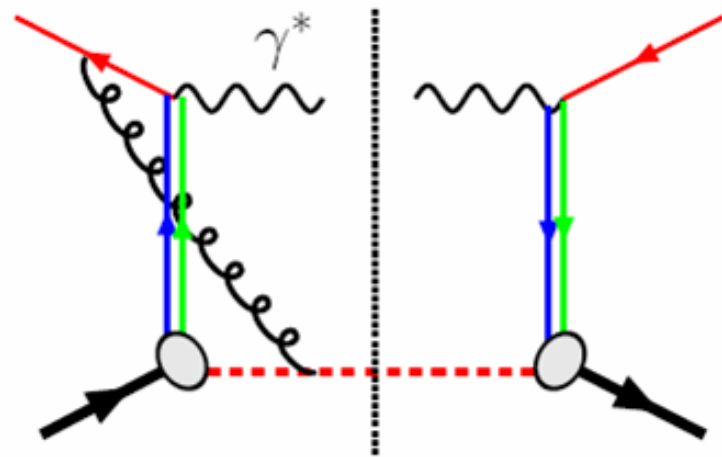
$$d\sigma \propto f_{a/A}(x_a, k_{aT}) \otimes f_{b/B}(x_b, k_{bT}) \otimes H_{ab \rightarrow c}^U \otimes D_{h/c}(z_c, p_T)$$

- Use Sivers function extracted in SIDIS, one could make some reasonable description of the RHIC data



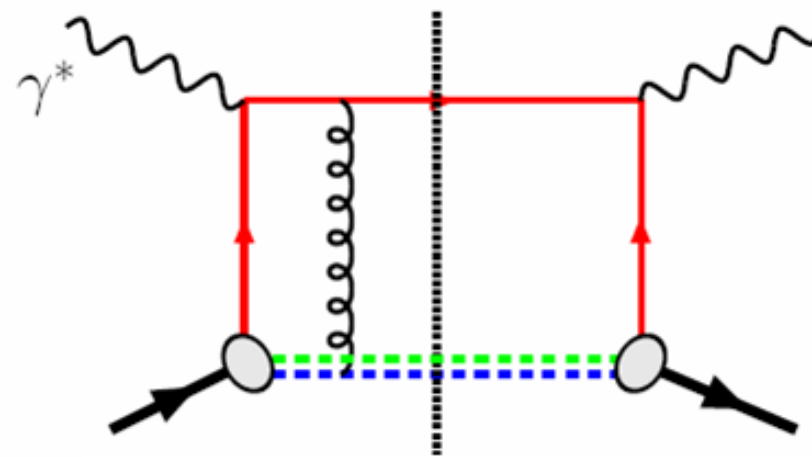
Sivers function needs initial and final state interaction

- Initial- and final-state interaction is very important for Sivers function, which provides the necessary phase to have non-vanishing Sivers function
- Difference between initial and final state interactions



$$p^\uparrow + p \rightarrow [\gamma^* \rightarrow \ell^+ \ell^-] + X$$

DY: repulsive



$$\ell + p^\uparrow \rightarrow \ell + \pi + X$$

SIDIS: attractive

$$\Delta^N f_{q/h^\uparrow}^{\text{SIDIS}}(x, k_\perp) = -\Delta^N f_{q/h^\uparrow}^{\text{DY}}(x, k_\perp)$$

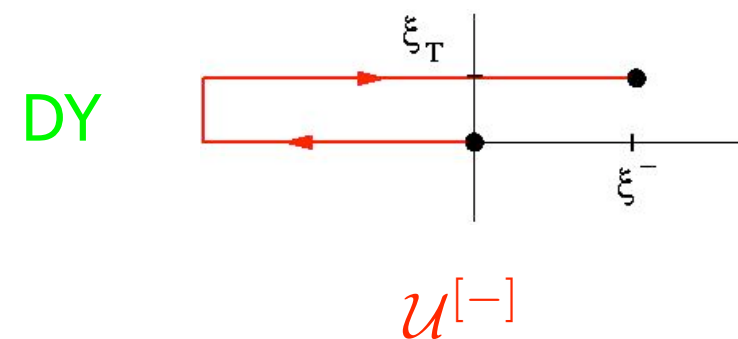
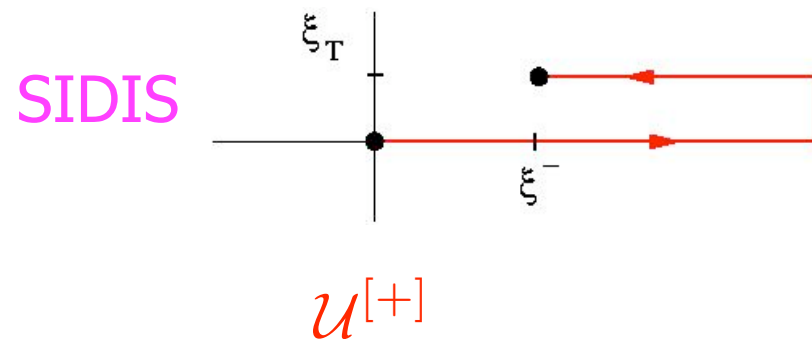


Sivers function is not universal

- Initial and final state interaction leads to non-trivial gauge link used to define TMD PDF (or Sivers function)
- Gauge link could be different for different process, thus TMD PDFs are not universal
- Sivers function in inclusive hadron production is different from those measured in SIDIS (or DY)
 - One cannot use Sivers function measured in SIDIS to direct calculate SSA for inclusive hadron production in pp collision as in GPM model
- Question: how to take into account the process-dependence of the Sivers function

Gauge link for different process are derived

- Gauge link in SIDIS and DY



- Gauge link in $qq' \rightarrow qq'$ process:

$$\mathcal{U}_{qq' \rightarrow qq'} = \frac{N_c^2 + 1}{N_c^2 - 1} \frac{\text{Tr}[\mathcal{U}^{[\square]}]}{N_c} \mathcal{U}^{[+]} - \frac{2}{N_c^2 - 1} \mathcal{U}^{[\square]} \mathcal{U}^{[+]}$$

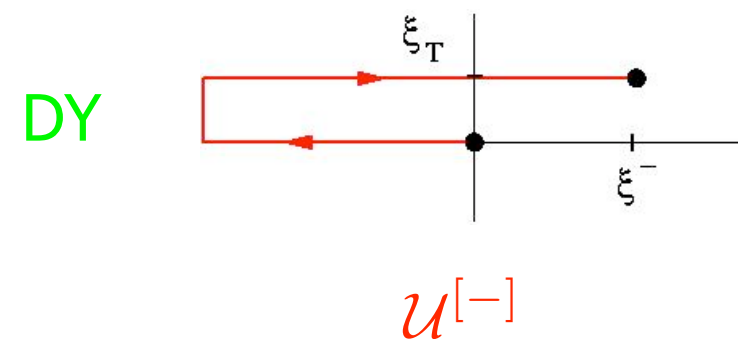
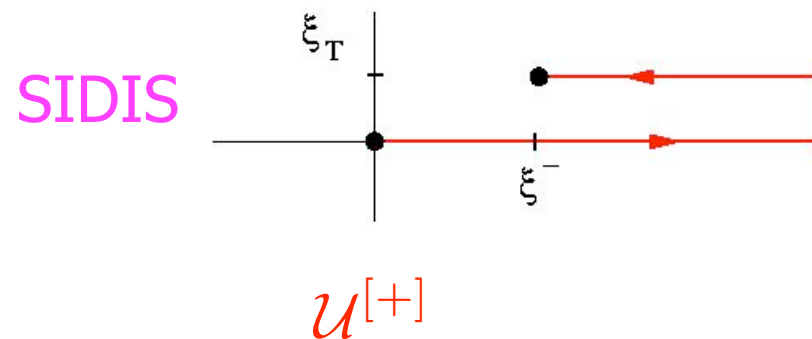


- To first non-trivial order (one-gluon exchange), one could find:

$$f_{1T}^\perp(x, k_\perp)|_{qq' \rightarrow qq'} = \frac{N_c^2 - 5}{N_c^2 - 1} f_{1T}^{\perp \text{SIDIS}}(x, k_\perp)$$

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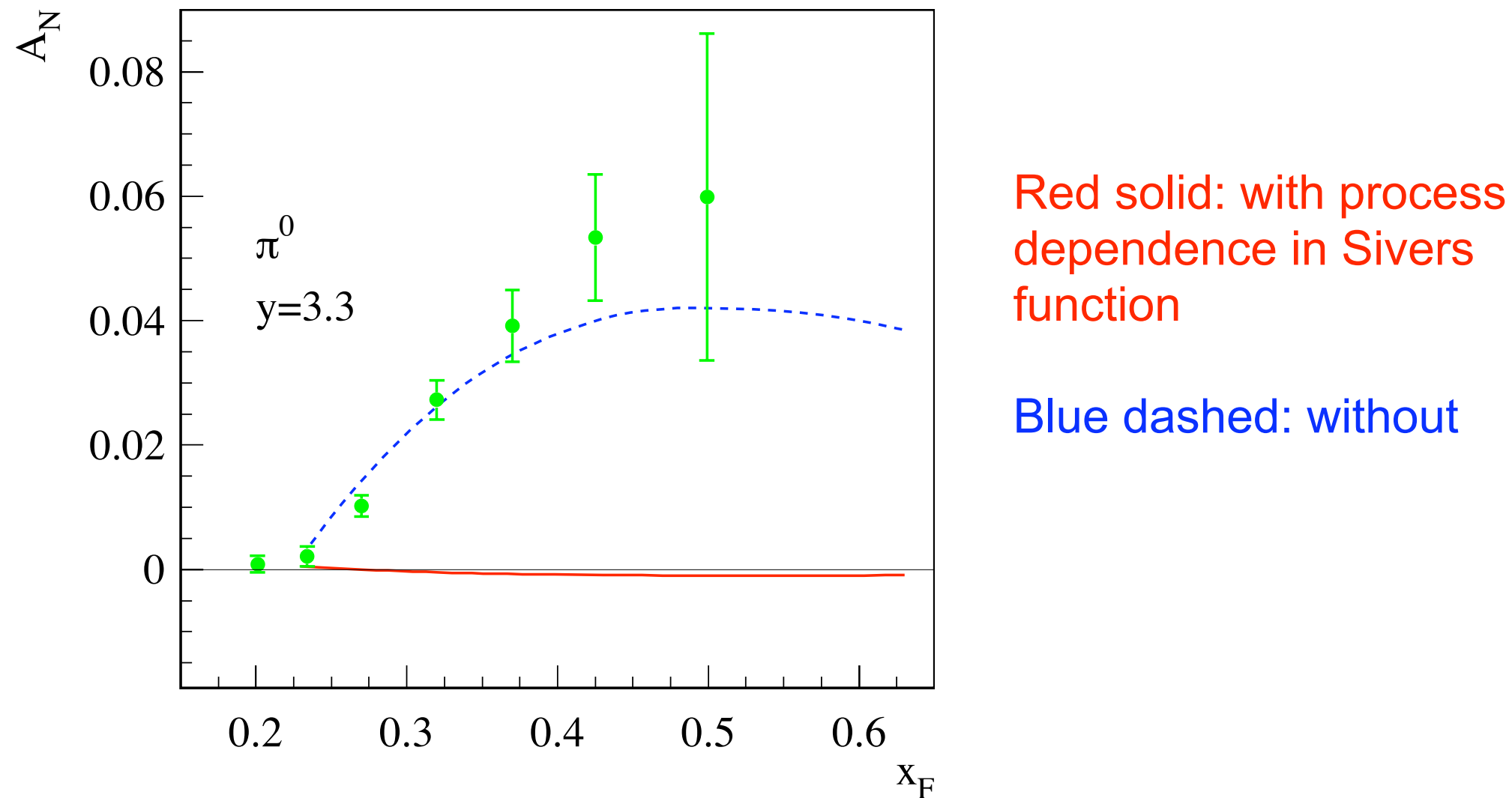
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Kang, Gamberg, 2010

Predictions with process-dependent Sivers function

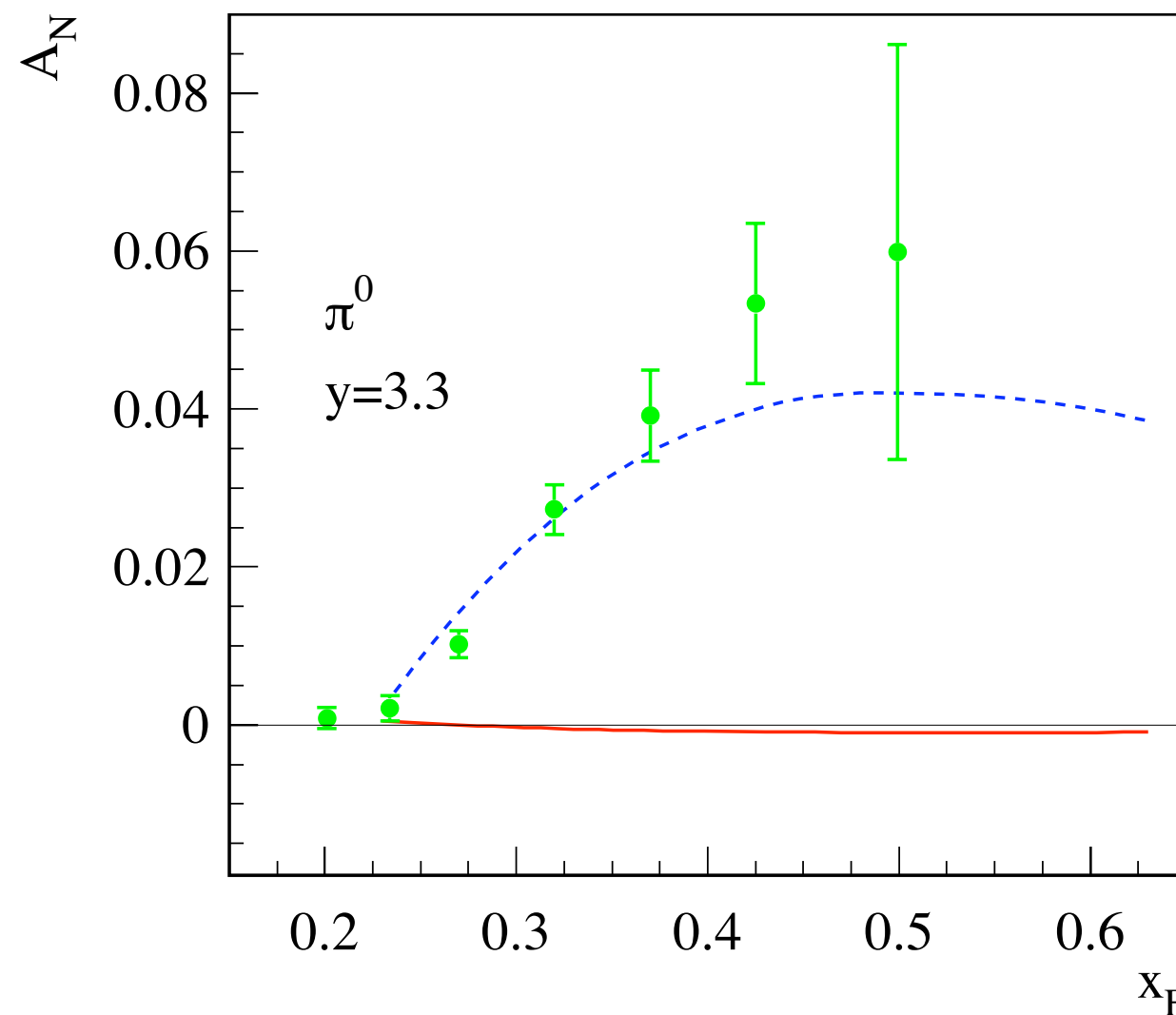
- Do the calculation more consistently: take into account the process-dependence of the Sivers function



- If GPM approach is correct, then the SSA for inclusive pion probably does not come from Sivers effect

Predictions with process-dependent Sivers function

- Do the calculation more consistently: take into account the process-dependence of the Sivers function



Kang, Gamberg, 2010

Red solid: with process dependence in Sivers function

Blue dashed: without

- If GPM approach is correct, then the SSA for inclusive pion probably does not come from Sivers effect



Summary

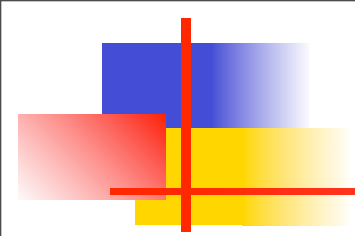
- Single transverse-spin asymmetry is directly connected to the parton's transverse motion
 - an excellent probe for the parton's transverse motion
- More correlation functions (than spin-avg case) are involved, much theoretical progress made for PDF side
 - A better way to describe p_T behavior is provided
 - Three-gluon correlation functions are extracted from pion data
- For FF side, a sizable asymmetry could also be generated.
 - Need more data to constrain the relevant twist-3 fragmentation correlation
- With process-dependence included in the description of the GPM approach for inclusive pion, we typically have very small asymmetry



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Thank you!



Backup